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UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN FRANCISCO DIVISION

20 IN RE CHRYSLER-DODGE-JEEP  
21 ECODIESEL MARKETING, SALES  
22 PRACTICES, AND PRODUCTS  
LIABILITY LITIGATION

23 This Documents Relates to:

24 ALL CONSUMER AND RESELLER  
25 ACTIONS

Case No. 3:17-md-02777-EMC

**AMENDED CONSUMER AND  
RESELLER DEALERSHIP CLASS  
ACTION SETTLEMENT AGREEMENT  
AND RELEASE**

Hearing: January 23, 2019  
Time: 10:00 a.m.  
Courtroom: 5, 17th floor  
The Honorable Edward Chen

**TABLE OF CONTENTS**

1

2 1. THE PROPOSED SETTLEMENT ..... 1

3 2. DEFINITIONS ..... 2

4 3. ORDER APPROVING NOTICE ..... 14

5 4. CLASS MEMBER COMPENSATION AND REMEDIES ..... 15

6 5. CLASS CLAIMS PROCESS AND ADMINISTRATION ..... 24

7 6. REQUESTS FOR EXCLUSION ..... 26

8 7. OBJECTIONS TO THE SETTLEMENT ..... 28

9 8. DUTIES OF THE NOTICE AND CLAIMS ADMINISTRATOR ..... 29

10 9. RELEASE AND WAIVER ..... 31

11 10. ESCROW ACCOUNT ..... 37

12 11. ATTORNEYS’ FEES AND EXPENSES ..... 38

13 12. PROPOSED SCHEDULE FOR APPROVAL OF SETTLEMENT ..... 40

14 13. AGREEMENT TO COOPERATE TO EFFECTUATE SETTLEMENT ..... 41

15 14. MODIFICATION OR TERMINATION OF THIS CLASS ACTION

16 AGREEMENT ..... 41

17 15. REPRESENTATIONS AND WARRANTIES ..... 44

18 16. GENERAL MATTERS AND RESERVATIONS ..... 45

19

20

21

22

23

24

25

26

27

28

1     **1.     THE PROPOSED SETTLEMENT**

2           On January 12, 2017, the U.S. Environmental Protection Agency and the California Air  
3 Resources Board issued notices of violation to Fiat Chrysler Automobiles N.V. and FCA US  
4 LLC, alleging that certain Ram and Jeep vehicles with 3.0 liter V6 diesel engines in the United  
5 States were equipped with eight Auxiliary Emission Control Devices (“AECs”) that were not  
6 disclosed to the EPA and CARB, and that the operation of one or more of the AECs alone or in  
7 combination results in excess emissions of nitrogen oxides (“NOx”). On May 23, 2017, the  
8 Department of Justice, on behalf of the EPA, filed a complaint alleging that the undisclosed  
9 AECs, alone or in combination, are “defeat devices” designed to reduce the effectiveness of the  
10 vehicles’ emissions control systems in violation of the Clean Air Act.

11           Owners, lessees, and dealers also filed lawsuits against Defendants in federal courts across  
12 the United States, which were consolidated in the United States District Court for the Northern  
13 District of California before the Honorable Edward Chen. Judge Chen appointed Lead Plaintiffs’  
14 Counsel, as well as a committee of plaintiffs’ lawyers from law firms across the United States  
15 (referred to collectively as the Plaintiffs’ Steering Committee), to oversee and conduct the  
16 consolidated litigation on behalf of affected owners, lessees, and dealers.

17           After extensive litigation and settlement efforts facilitated by Court-appointed Settlement  
18 Master Kenneth Feinberg, the Parties have reached this agreement to resolve consumers’ claims  
19 arising from the approximately 100,000 affected vehicles in the United States (the “Class Action  
20 Agreement” or “Agreement”).

21           As detailed below, the Class Action Agreement, if approved by the Court, provides  
22 substantial compensation to all Class Members. Fiat Chrysler has developed, and EPA and  
23 CARB have approved, a modification of the emissions control system software calibration that  
24 ensures that the vehicles’ emissions are in compliance with the emissions standards to which the  
25 vehicles were originally certified. In addition to this software modification, the Class Action  
26 Agreement provides Class Members with cash payments, a robust extended warranty, and other  
27 non-monetary protections and benefits.

28

1     **2.     DEFINITIONS**

2             As used in this Class Action Agreement, including the attached Exhibits, the terms  
3 defined herein have the following meanings, unless this Class Action Agreement specifically  
4 provides otherwise.

5             2.1.     “3.0-liter Diesel Matter” means allegations relating to: (1) the installation or  
6 presence of any Defeat Device or other auxiliary emission control device in any Model Year  
7 2014-2016 Ram 1500 or Jeep Grand Cherokee vehicle with a 3.0-liter V6 diesel engine (“Subject  
8 Vehicle”); (2) the design, manufacture, assembly, testing, or development of any Defeat Device,  
9 auxiliary emission control device, emissions control equipment, electronic control units, or  
10 emissions software programs, programing, coding, or calibration used or for use in a Subject  
11 Vehicle; (3) the marketing or advertisement of the emissions or environmental characteristics or  
12 performance of any Subject Vehicle, including as green, clean, clean diesel, environmentally  
13 friendly, and/or compliant with state or federal environmental or emissions standards; (4) the  
14 actual or alleged noncompliance of any Subject Vehicle with state or federal environmental or  
15 emissions standards or with consumer expectations; (5) the marketing or advertisement of the fuel  
16 efficiency, fuel economy, mileage, power, or performance of any Subject Vehicle; (6) any badges  
17 or EcoDiesel labels on the Subject Vehicles; and/or (7) the subject matter of the Action, as well as  
18 any related events or allegations, with respect to Subject Vehicles. Without limiting the  
19 foregoing, “3.0-liter Diesel Matter” includes allegations that (i) are related to any Subject  
20 Vehicle, (ii) relate to conduct by a Released Party that predates the date of this Class Action  
21 Settlement, and (iii) formed the factual basis for a claim that was made or could have been made  
22 in the Complaint.

23             2.2.     “Action” means the coordinated class, mass, and individual actions, however  
24 named, that are coordinated pursuant to 28 U.S.C. § 1407 in the United States District Court for  
25 the Northern District of California in *In re Chrysler-Dodge-Jeep Ecodiesel Marketing, Sales*  
26 *Practices, and Products Liability Litigation*, No. 3:17-md-02777-EMC (N.D. Cal.) (MDL 2777)  
27 (the “MDL”), except that the Action does not include actions in the MDL brought by or on behalf  
28 of federal government agencies, states, municipalities, or state or municipal agencies under state

1 or local environmental or consumer-protection laws or regulations.

2 2.3. “Approved Emissions Modification” or “AEM” means the Approved Emissions  
3 Modification, as defined in Paragraph 23 of the US-CA Consent Decree, a change to the  
4 emissions software of an Eligible Vehicle proposed by Fiat Chrysler and approved by the EPA  
5 and CARB that reduces emissions and ensures that an Eligible Vehicle is in compliance with  
6 Certified Exhaust Emissions Standards, as set forth in the US-CA Consent Decree.

7 2.4. “Authorized Dealer” means any authorized Jeep and/or Ram dealer located in the  
8 United States and Puerto Rico as evidenced by a current and valid Dealer Sales and Service  
9 Agreement. Authorized Dealers are not Class Members.

10 2.5. “Bosch” means Robert Bosch GmbH and Robert Bosch LLC.

11 2.6. “Bosch’s Lead Counsel” means Matthew D. Slater of Cleary Gottlieb Steen &  
12 Hamilton LLP.

13 2.7. “Canadian Vehicle” means a Subject Vehicle purchased in Canada but  
14 subsequently Registered in the United States. Under the US-CA Consent Decree, Canadian  
15 Vehicles are entitled to receive the Approved Emissions Modification. Under this Class Action  
16 Agreement, Canadian Vehicles are not eligible for a Class Member Payment, nor are claims  
17 related to them released by this Agreement.

18 2.8. “CARB” means the California Air Resources Board.

19 2.9. “Certified Exhaust Emissions Standards” means emissions standards set forth in  
20 Paragraph 28 of the US-CA Consent Decree.

21 2.10. “Claim” means the claim of any Class Member or his, her, or its representative  
22 submitted on a Claim Form as provided in this Class Action Agreement.

23 2.11. “Claimant” means a Class Member who has completed and submitted a Claim  
24 Form and all required documentation, as set forth in more detail in Exhibit 4.

25 2.12. “Claim Form” means the paper or online form used to submit a Claim under this  
26 Class Action Agreement.

27 2.13. “Claim Submission Deadline for Eligible Owners and Eligible Lessees” means the  
28 latest date by which an Eligible Owner or Eligible Lessee must submit a Claim to participate in

1 the Claims Program. The Claim Submission Deadline for Eligible Owners and Eligible Lessees  
2 is 21 months from the Effective Date.

3 2.14. “Claim Submission Deadline for Eligible Former Owners and Eligible Former  
4 Lessees” means the latest date by which an Eligible Former Owner or Eligible Former Lessee  
5 must submit a Claim to participate in the Claims Program. The Claim Submission Deadline for  
6 Eligible Former Owners and Eligible Former Lessees is 90 days from the Effective Date.  
7 However, the deadline for Persons who become Eligible Former Owners or Eligible Former  
8 Lessees because their Eligible Vehicles are transferred to a third party after January 10, 2019, as a  
9 result of a total loss, but before the AEM is performed, is the later of (1) 90 days from the  
10 Effective Date, or (2) 60 days after title is transferred (for Eligible Former Owners), or 60 days  
11 after surrendering the leased vehicle under the terms of the lease (for Eligible Former Lessees).  
12 In no event, however, shall the deadline for such Persons extend beyond the Claim Submission  
13 Deadline for Eligible Owners and Eligible Lessees. The Claim Submission Deadline for Eligible  
14 Former Lessees whose leases began on or before January 12, 2017, and who terminate their leases  
15 before receiving the AEM is also the later of (1) 90 days from the Effective Date or (2) 60 days  
16 after surrendering the leased vehicle under the terms of the lease, but in no event later than the  
17 Claim Submission Deadline for Eligible Owners and Eligible Lessees.

18 2.15. “Claims Administrator” means the third-party agent agreed to by the Parties and  
19 appointed by the Court to oversee the Claims process described in Section 5. The Parties agree  
20 that Angeion Group shall serve as Claims Administrator, subject to approval by the Court.

21 2.16. “Claims Period” means the time period during which Class Members may submit  
22 a Claim. The Claims Period begins on the Effective Date. For Eligible Former Owners and  
23 Eligible Former Lessees, the Claims Period shall end on the Claim Submission Deadline for  
24 Eligible Former Owners and Eligible Former Lessees. For Eligible Owners and Eligible Lessees,  
25 the Claims Period shall end on the Claims Submission Deadline for Eligible Owners and Eligible  
26 Lessees.

27 2.17. “Claims Program” means the program through which Class Members may file  
28 Claims and, if eligible, obtain benefits under this Class Action Agreement, as described in

1 Exhibit 4. For Eligible Former Owners and Eligible Former Lessees, the Claims Period shall end  
2 on the Claim Submission Deadline for Eligible Former Owners and Eligible Former Lessees. For  
3 Eligible Owners and Eligible Lessees, the Claims Period shall end on the Claim Submission  
4 Deadline for Eligible Owners and Eligible Lessees.

5 2.18. "Claims Review Committee" or "CRC" means the committee approved by the  
6 Court to resolve disputed Claims. The Claims Review Committee also will make final decisions  
7 regarding whether vehicles are Operable, as defined herein. The Claims Review Committee will  
8 review claims deemed ineligible and appealed by the Claimant, including disputes over the  
9 implementation of the Extended Warranty. The Claims Review Committee will include one  
10 representative from Fiat Chrysler and one representative from Class Counsel, as well as a  
11 "Neutral," to be agreed upon by both Fiat Chrysler and Class Counsel, who would be called upon  
12 only to resolve any disagreements between the Claims Review Committee's other members,  
13 should they arise. In the event that Fiat Chrysler and Class Counsel cannot agree on a "Neutral"  
14 representative, they agree to mediate the issue before the Settlement Master. Subject to Court  
15 approval, the Parties may agree to a replacement or successor CRC Neutral at any point. It is  
16 anticipated that the Parties will be able to resolve most issues, but the availability of a neutral  
17 third party ensures that disputes can be resolved without Court intervention. The Class Counsel  
18 representative on the Claims Review Committee will have responsibility for handling CRC  
19 communication with Claimants and Claimants' counsel with the assistance of the Claims  
20 Administrator. Determinations by the Claims Review Committee will constitute final  
21 determinations. Class Members shall not have any right to appeal a decision of the Claims  
22 Review Committee to the Court.

23 2.19. "Class" means, for purposes of this Class Action Settlement only, a nationwide  
24 class, including Puerto Rico, of all Persons (this includes individuals who are United States  
25 citizens, residents, or United States military, or diplomatic personnel that are living or stationed  
26 overseas, as well as entities) who (1) on January 12, 2017 owned or leased a Ram 1500 or Jeep  
27 Grand Cherokee 3.0-liter diesel vehicle in the United States or its territories (an "Eligible  
28 Vehicle," defined more fully in Section 2.35); or who (2) between January 12, 2017 and the

1 Claim Submission Deadline for Eligible Owners and Eligible Lessees become the owner or lessee  
2 of an Eligible Vehicle in the United States or its territories; or who (3) own or lease an Eligible  
3 Vehicle in the United States or its territories at the time of participation in the Repair Program.

4 The Class does not include Authorized Dealers, but does include automobile dealers who are not  
5 Authorized Dealers and who otherwise meet the definition of the Class. The following entities  
6 and individuals are also excluded from the Class:

- 7 (a) Owners or lessees who acquired an Eligible Vehicle after January 12, 2017, and  
8 transferred ownership or terminated their lease before the Opt-Out Deadline;
- 9 (b) Owners or lessees who acquired an Eligible Vehicle after January 12, 2017, and  
10 transferred ownership or terminated their lease after the Opt-Out Deadline, as a  
11 result of a total loss, but before the Claim Submission Deadline for Eligible  
12 Owners and Eligible Lessees;
- 13 (c) Owners who acquired an Eligible Vehicle on or before January 12, 2017, and  
14 transferred ownership after January 10, 2019, but before the Opt-Out Deadline,  
15 unless ownership was transferred as a result of a total loss;
- 16 (d) Lessees who leased their Eligible Vehicles on or before January 12, 2017, acquire  
17 ownership after January 10, 2019, and transfer ownership before the AEM is  
18 performed on the Eligible Vehicle;
- 19 (e) Owners whose Eligible Vehicle is not Registered in the United States as of the  
20 date the AEM is performed;
- 21 (f) Defendants' officers, directors and employees; Defendants' affiliates and  
22 affiliates' officers, directors and employees; their distributors and distributors'  
23 officers, directors and employees; and Authorized Dealers and Authorized  
24 Dealers' officers and directors;
- 25 (g) Judicial officers and their immediate family members and associated court staff  
26 assigned to this case; and
- 27 (h) All those otherwise in the Class who or which timely and properly exclude  
28 themselves from the Class as provided in this Class Action Agreement.

1           2.20. “Class Action Agreement” means this Settlement Agreement and the exhibits  
2 attached hereto, including any subsequent amendments or any exhibits to such amendments. The  
3 Class Action Agreement may alternatively be referred to as the “Agreement” or the “Class Action  
4 Settlement.”

5           2.21. “Class Counsel” means Lead Plaintiffs’ Counsel and the PSC.

6           2.22. “Class Member” means a member of the Class.

7           2.23. “Class Member Payment” means the monetary compensation that Defendants shall  
8 pay Class Members who do not opt out of the Class and who timely submit a valid and complete  
9 Claim, on the conditions set forth in Section 4 and Exhibit 1. This includes Owner, Lessee, and  
10 Former Owner Payments, separately or collectively.

11           2.24. “Class Notice Program” means the program for distributing information about the  
12 Class Action Settlement to Class Members.

13           2.25. “Complaint” means the Second Amended Consolidated Consumer Class Action  
14 Complaint (Dkt. No. 310) filed in the Action on May 16, 2018.

15           2.26. “Court” means the United States District Court for the Northern District of  
16 California, San Francisco Division.

17           2.27. “Defeat Device” has the same meaning as in 40 C.F.R. § 86.1803-01 or 42 U.S.C.  
18 § 7522(a)(3)(B).

19           2.28. “Defendants” means Fiat Chrysler Automobiles N.V., FCA US LLC, V.M. Motori  
20 S.p.A., V.M. North America, Inc., Sergio Marchionne and his estate, Robert Bosch GmbH, and  
21 Robert Bosch LLC.

22           2.29. “DOJ” means the United States Department of Justice.

23           2.30. “Effective Date” means the date the Court has entered both the Final Approval  
24 Order and the US-CA Consent Decree.

25           2.31. “Eligible Former Lessee” means a Person who leased an Eligible Vehicle as of  
26 January 12, 2017, and who surrendered or surrenders the leased Eligible Vehicle under the terms  
27 of the lease before the Eligible Vehicle receives the AEM. For the avoidance of doubt, this  
28 includes Eligible Former Lessees whose leases are terminated as a result of a total loss before the

1 AEM is performed on the Eligible Vehicle.

2 2.32. "Eligible Former Owner" means a Person who purchased or otherwise acquired  
3 ownership of an Eligible Vehicle on or before January 12, 2017, and then sold or otherwise  
4 transferred ownership of such vehicle after that date but on or before January 10, 2019, or a  
5 Person who leased an Eligible Vehicle on January 12, 2017 and subsequently acquired ownership  
6 of that Eligible Vehicle on or before January 10, 2019, and then sold or otherwise transferred  
7 ownership of such vehicle after that date but on or before January 10, 2019. A Person who  
8 purchased or otherwise acquired ownership of an Eligible Vehicle on or before January 12, 2017,  
9 but whose vehicle is transferred to a third party after January 10, 2019, as a result of a total loss,  
10 but before the Approved Emissions Modification is performed, shall become an Eligible Former  
11 Owner. For avoidance of doubt, a sale or transfer of ownership under this definition includes the  
12 transfer of ownership of an Eligible Vehicle to an insurance company.

13 2.33. "Eligible Lessee" means (1) a Person who leases an Eligible Vehicle when the  
14 AEM is performed, or (2) a Person who owns an Eligible Vehicle that was leased as of January  
15 10, 2019, except that a Person who owns an Eligible Vehicle will not qualify as an Eligible  
16 Owner or Eligible Lessee while the Eligible Vehicle is under lease to any third party, including  
17 any Eligible Lessee. An Eligible Lessee ceases to be an Eligible Lessee if he or she surrenders  
18 the leased Eligible Vehicle under the terms of the lease or transfers ownership of the Eligible  
19 Vehicle to a third party before the AEM is performed, but an Eligible Lessee who leased the  
20 Eligible Vehicle on January 12, 2017, and surrenders the Eligible Vehicle before the AEM is  
21 performed becomes an Eligible Former Lessee. An Eligible Lessee who assigns the lease of that  
22 Person's Eligible Vehicle to a third party shall cease to be an Eligible Lessee and shall not  
23 become an Eligible Former Lessee. Instead, the third party to whom the lease is assigned shall  
24 become the Eligible Lessee of the Eligible Vehicle and shall assume all the rights and  
25 responsibilities under this Agreement of the person who assigned the lease. In exceptional cases,  
26 specific arrangements may be made with the leasing company, in consultation with the Claims  
27 Administrator, such that, without canceling or terminating the lease, the leasing company may be  
28 treated as an Eligible Lessee and obtain an Approved Emissions Modification plus Lessee

1 Payment.

2 2.34. "Eligible Owner" means the owner or owners of an Eligible Vehicle who acquired  
3 or acquire an Eligible Vehicle before the end of the Settlement Benefit Period, except that the  
4 owner of an Eligible Vehicle that was leased as of January 10, 2019, shall not be an Eligible  
5 Owner, and may instead be an Eligible Lessee based on the terms of that definition. An Eligible  
6 Owner ceases to be an Eligible Owner if he or she transfers ownership of the Eligible Vehicle to a  
7 third party after January 10, 2019.

8 2.35. "Eligible Vehicle" means the Model Year 2014 through 2016 Ram 1500 and Jeep  
9 Grand Cherokee vehicles equipped with 3.0-liter V6 diesel engines that (1) are covered, or  
10 purported to be covered, by the EPA Test Groups in the table immediately below this Paragraph;  
11 and (2) have not been modified pursuant to an Approved Emissions Modification. Eligible  
12 Vehicle excludes (i) any vehicle that was never sold in the United States or its territories and also  
13 excludes (ii) any vehicle that is not Registered in the United States or its Territories as of the date  
14 the Approved Emissions Modification is performed, unless the vehicle is owned or leased by  
15 individuals who are United States military or diplomatic personnel that are living or stationed  
16 overseas. A vehicle must be Operable to be considered an Eligible Vehicle.

17 **3.0-liter Diesel Eligible Vehicles**

| 18 <b>Model Year</b> | <b>EPA Test Group(s)</b> | <b>Vehicle Make and Model(s)</b> |
|----------------------|--------------------------|----------------------------------|
| 19 2014              | ECRXT03.05PV             | FCA Ram 1500                     |
| 20 2014              | ECRXT03.05PV             | FCA Jeep Grand Cherokee          |
| 21 2015              | FCRXT03.05PV             | FCA Ram 1500                     |
| 22 2015              | FCRXT03.05PV             | FCA Jeep Grand Cherokee          |
| 23 2016              | GCRXT03.05PV             | FCA Ram 1500                     |
| 24 2016              | GCRXT03.05PV             | FCA Jeep Grand Cherokee          |

25 2.36. "EPA" means the United States Environmental Protection Agency.

26 2.37. "EPA Test Group" means a subdivision of vehicles set forth in the chart that  
27 appears in the definition of Eligible Vehicle above.

28 2.38. "Escrow Account" means the escrow account managed by the Escrow Agent,  
which shall be the sole escrow account for compensation of Class Members under the Class

1 Action Agreement.

2 2.39. “Escrow Agent” means the agreed-upon entity to address and hold for distribution  
3 the funds identified in this Class Action Agreement pursuant to the terms of the Escrow  
4 Agreement. That agreed-upon entity is Citi Private Bank.

5 2.40. “Escrow Agreement” means the agreement by and among Class Counsel, Fiat  
6 Chrysler’s Lead Counsel, and Bosch’s Lead Counsel with respect to the escrow of the funds to be  
7 deposited into the Escrow Account pursuant to this Class Action Agreement.

8 2.41. “Extended Warranty” means the warranty described in Section 4.3.1.

9 2.42. “Fairness Hearing” means the hearing held by the Court for the purpose of  
10 determining whether to approve this Class Action Agreement as fair, reasonable, and adequate.

11 2.43. “FCA US” means FCA US LLC.

12 2.44. “Fiat Chrysler,” “FCA,” or “Fiat Chrysler Entities” means Fiat Chrysler  
13 Automobiles N.V., FCA US LLC, V.M. Motori S.p.A., and V.M. North America, Inc.

14 2.45. “Fiat Chrysler’s Lead Counsel” means Robert J. Giuffra, Jr. of Sullivan &  
15 Cromwell LLP.

16 2.46. “Final Approval Order” means the order that may, at the discretion of the Court,  
17 be entered by the Court granting final approval of the Class Action Settlement.

18 2.47. “Former Owner Payment” means certain monetary compensation, as set forth in  
19 Exhibit 1, that Defendants will pay to Eligible Former Owners of vehicles who do not opt out of  
20 the Class and who timely submit a valid and complete Claim, on the conditions set forth in  
21 Section 4 and Exhibit 1.

22 2.48. “Lead Plaintiffs’ Counsel” means Elizabeth Cabraser of Lieff, Cabraser, Heimann  
23 & Bernstein, LLP, who was appointed by the Court on June 19, 2017.

24 2.49. “Lessee Payment” means certain monetary compensation, in an amount set forth in  
25 Exhibit 1, that Defendants will pay to Eligible Lessees and Eligible Former Lessees of vehicles  
26 who do not opt out of the Class and who timely submit a valid and complete Claim, on conditions  
27 set forth in Section 4 and Exhibit 1.

28 2.50. “Long Form Notice” means the Long Form Notice substantially in the form

1 attached hereto as Exhibit 3.

2 2.51. "Notice Administrator" means the agent or administrator agreed to by the Parties  
3 and appointed by the Court to implement and consult on the Class Notice Program. The Parties  
4 agree that Angeion Group shall serve as Notice Administrator, subject to approval by the Court.

5 2.52. "Operable" means that a vehicle can be driven under its own engine power and is  
6 in reasonable condition such that it can be driven lawfully and safely on public roads, even if it  
7 has a mechanical issue, under a common-sense understanding of what is an acceptable condition  
8 for driving. An otherwise Eligible Vehicle that has been altered with the use of any after-market  
9 emissions-related components, parts, and/or software or the removal of any original emissions-  
10 related components, parts, and/or software, if such alteration(s) are likely to substantially affect  
11 the operation of the vehicle with the Approved Emissions Modification or substantially impede  
12 installation of the Approved Emissions Modification, shall not be considered Operable unless and  
13 until the owner of such vehicle, at his or her expense, has reversed the alteration(s) such that the  
14 Approved Emissions Modification may be installed and not substantially affected. Nothing in  
15 this definition affects FCA US's obligation to perform the AEM on any and all Subject Vehicles  
16 for which an AEM is requested, unless FCA US can refuse to perform the AEM under the terms  
17 of Paragraph 38.e of the US-CA Consent Decree. The Claims Review Committee will be the  
18 final decision maker on whether a vehicle is Operable but a determination under this Paragraph  
19 that a vehicle is Operable or not Operable does not constitute any determination by EPA or  
20 CARB as to whether the emissions system of the vehicle has been modified.

21 2.53. "Opt-Out Deadline" means the later of April 1, 2019 or 60 days from the date the  
22 Class Notice Program begins.

23 2.54. "Order Approving Notice" means the order that may, at the discretion of the Court,  
24 be entered by the Court approving notice to the Class and concluding that the Court will likely be  
25 able to approve the Class Action Settlement and certify the proposed Class as outlined in Section  
26 3 of this Class Action Agreement.

27 2.55. "Owner Payment" means certain monetary compensation Defendants will pay to  
28 Eligible Owners who do not opt out of the Class and who timely submit a valid and complete

1 Claim, on the conditions set forth in Section 4 and Exhibit 1.

2 2.56. “Parties” means the Settlement Class Representatives and Defendants,  
3 collectively, as each of those terms is defined in this Class Action Agreement.

4 2.57. “Person” or “Persons” includes individuals and entities such as an automobile  
5 dealer that is not an Authorized Dealer.

6 2.58. “Plaintiffs’ Steering Committee” or “PSC” means those counsel appointed to the  
7 Plaintiffs’ Steering Committee by the Court in this Action on June 19, 2017. Lead Plaintiffs’  
8 Counsel is Chair of the PSC.

9 2.59. “Post-Appeal Date” means the latest date on which the Final Approval Order  
10 approving this Class Action Agreement becomes final. For purposes of this Class Action  
11 Agreement:

12 2.59.1. if no appeal has been taken from the Final Approval Order, “Post-Appeal  
13 Date” means the date on which the time to appeal therefrom has expired;

14 or

15 2.59.2. if any appeal has been taken from the Final Approval Order, “Post-  
16 Appeal Date” means the date on which all appeals therefrom, including  
17 petitions for rehearing or reargument, petitions for rehearing *en banc* and  
18 petitions for a writ of *certiorari* or any other form of review, have been  
19 fully disposed of in a manner that affirms the Final Approval Order; or

20 2.59.3. if Class Counsel and Defendants agree in writing, the “Post-Appeal Date”  
21 can occur on any other earlier agreed date.

22 2.60. “Registered” includes vehicles registered with a state Department of Motor  
23 Vehicles or equivalent agency, or vehicles owned by a non-Authorized Dealer in the United  
24 States or its territories that (a) holds title to the vehicle or (b) holds the vehicle by bill of sale.

25 2.61. “Release” means the release and waiver described in Section 9 of this Class Action  
26 Agreement and in the Final Approval Order. In addition, Class Members who participate in the  
27 Repair Program will execute a coextensive “Individual Release” as described in Section 9 of this  
28 Class Action Agreement, and that Individual Release will remain valid even if the Final Approval

1 Order is later reversed and/or vacated on appeal.

2 2.62. “Released Party” or “Released Parties” has the definition set forth in Section 9 of  
3 this Class Action Agreement.

4 2.63. “Repair Program” means the recall program specified in Section VI.B of the US-  
5 CA Consent Decree to implement the Approved Emissions Modification during the Settlement  
6 Benefit Period, pursuant to this Class Action Agreement.

7 2.64. “Sergio Marchionne” means Sergio Marchionne, his estate and his executors.

8 2.65. “Settlement Benefit Period” means the time period during which Class Members  
9 may obtain benefits under the Class Action Settlement, excluding any benefits available under the  
10 warranty provisions provided in the Class Action Settlement, which are identical to the warranty  
11 provisions in the US-CA Consent Decree and which shall be available for the duration and under  
12 the terms specified in the US-CA Consent Decree and Exhibit 1. The Settlement Benefit Period  
13 shall run from the Effective Date until two years after the Effective Date.

14 2.66. “Settlement Class Representative” means a Plaintiff who meets the Class  
15 definition set forth in Section 2.19 of this Class Action Agreement, and who has agreed to  
16 represent the Class for purposes of obtaining approval of, and effectuating, this Class Action  
17 Agreement, as listed in the moving papers submitted for the motion for an Order Approving  
18 Notice.

19 2.67. “Settlement Master” means Kenneth Feinberg, who was appointed by the Court to  
20 serve as Settlement Master to administer, coordinate, and preside over settlement-related  
21 proceedings.

22 2.68. “Settlement Website” means the public website that provides information and key  
23 filings regarding the Class Action Settlement, including FAQs. Class Members will be able to  
24 access a “Claims Portal” on the Settlement Website. The Settlement Website also will allow  
25 Class Members to obtain a description of the Repair Program and to complete and submit an  
26 online Claim Form. The Settlement Website shall be maintained by Fiat Chrysler in consultation  
27 with Lead Plaintiffs’ Counsel, Bosch’s Lead Counsel, and the Notice and Claims Administrator.  
28 Any disputes as to the content and/or form the Settlement Website shall be resolved by the

1 Settlement Master.

2 2.69. "Short Form Notice" means the Short Form Notice(s) substantially in the form as  
3 attached hereto as Exhibit 2.

4 2.70. "Subsequent Purchaser/Lessee Opt-Out Deadline" means the Claim Submission  
5 Deadline for Eligible Owners and Eligible Lessees, or 60 days from the date of purchase or lease,  
6 whichever is later. This deadline applies only to Persons who purchase or lease an Eligible  
7 Vehicle on or after the Opt-Out Deadline but before the Claim Submission Deadline for Eligible  
8 Owners and Eligible Lessees. For all other Persons, the deadline to opt out of the Class is the  
9 Opt-Out Deadline.

10 2.71. "US-CA Consent Decree" means the consent decree lodged with the Court on or  
11 about January 10, 2019, as agreed by (1) the United States on behalf of the Environmental  
12 Protection Agency; and (2) the People of the State of California, by and through CARB and the  
13 Attorney General of California; and (3) Fiat Chrysler Automobiles N.V., FCA US LLC, V.M.  
14 Motori S.p.A., and V.M. North America, Inc., resolving certain aspects of the disputes between  
15 those parties on the terms described therein. If the Court approves the consent decree, "US-CA  
16 Consent Decree" shall mean the decree as and in the form that it is ultimately approved and  
17 entered by the Court.

18 2.72. Other capitalized terms used in this Class Action Agreement but not defined in this  
19 Section shall have the meanings ascribed to them elsewhere in this Class Action Agreement.

20 2.73. The terms "he or she" and "his or her" include "it" or "its" where applicable.

21 **3. ORDER APPROVING NOTICE**

22 3.1. Promptly after this Agreement is signed, but by no later than January 10, 2019, the  
23 Parties shall file the Agreement with the Court, together with a Motion to Approve Notice to the  
24 Class. Simultaneously, the Settlement Class Representatives shall move for certification of the  
25 Class for settlement purposes only, pursuant to Federal Rule of Civil Procedure ("Fed. R. Civ.  
26 P.") 23(a), 23(b)(3), and 23(e). It is expressly agreed that any certification of the Class shall be  
27 for settlement purposes only, and Defendants do not waive any arguments that they may have that  
28 class certification for any other purpose would be improper.

1           3.2. The Parties agree to take all actions and steps reasonably necessary to obtain an  
2 Approval Order from the Court and to fully implement and effectuate this Class Action  
3 Settlement.

4           **4. CLASS MEMBER COMPENSATION AND REMEDIES**

5           4.1. **Overview of Benefits.** The Class Action Agreement provides substantial  
6 compensation and remedies to owners and lessees, as detailed in this Section and in Exhibit 1  
7 (Class Member Benefits). The compensation described in this Section is available only to Class  
8 Members who do not opt out.

9           4.2. **Approved Emissions Modification Program.**

10           4.2.1. **Approved Emissions Modification.** All owners of Subject Vehicles,  
11 including Eligible Owners and Eligible Lessees who own or lease an  
12 Eligible Vehicle, are eligible to receive an Approved Emissions  
13 Modification, as specified in the US-CA Consent Decree. Class  
14 Members whose Eligible Vehicles are repaired, who submit timely and  
15 complete Claims, and who do not exclude themselves from the Class  
16 Action Settlement, will also receive an Owner Payment or Lessee  
17 Payment, as applicable, described below and in Exhibit 1.

18           4.2.2. **Owner Payment.** Eligible Owners who receive an Approved Emissions  
19 Modification are entitled to an Owner Payment. The Owner Payment  
20 will be **\$3,075**, unless an Eligible Former Owner or Eligible Former  
21 Lessee timely files a valid Claim for that same vehicle, in which case, the  
22 Owner Payment will be **\$2,460**. Full details on Owner Payments can be  
23 found in paragraph 2 of Exhibit 1.

24           4.2.3. **Former Owner Payment.** Eligible Former Owners will be entitled to a  
25 Former Owner Payment. The Former Owner Payment is **\$990**. Class  
26 Members whose Eligible Vehicles (1) are damaged after January 12, 2017  
27 and before January 10, 2019, in a manner that renders them a total loss  
28 (*i.e.*, “totaled”), and (2) are transferred to an insurance company or

1 otherwise sold to a junkyard, salvage dealer, or the equivalent, shall be  
2 treated as Eligible Former Owners and offered a Former Owner Payment.  
3 To obtain a Former Owner Payment, Eligible Former Owners must  
4 complete a claim by the Claim Submission Deadline for Eligible Former  
5 Owners and Eligible Former Lessees or, in the event that their Eligible  
6 Vehicle is totaled after the Claim Submission Deadline for Eligible  
7 Former Owners and Eligible Former Lessees, complete a claim within 60  
8 days of the date they transfer title of the Eligible Vehicle (but no later  
9 than the Claim Submission Deadline for Eligible Owners and Eligible  
10 Lessees). All disputes concerning whether a claim made under this  
11 paragraph is timely will be adjudicated by the CRC. Full details on  
12 Former Owner Payments can be found in paragraph 3 of Exhibit 1.

13 4.2.4. **Lessee Payment.** Eligible Lessees who receive an Approved Emissions  
14 Modification and Eligible Former Lessees who submit a timely and  
15 complete Claim will be entitled to a Lessee Payment. The Lessee  
16 Payment is **\$990**. For the purposes of this paragraph, the lessor of an  
17 Eligible Vehicle does not “receive an Approved Emissions Modification”  
18 if the Eligible Vehicle is surrendered under the terms of the lease to an  
19 Authorized Dealer and an Authorized Dealer then applies the Approved  
20 Emissions Modification before another person takes possession of the  
21 vehicle. Full details on Lessee Payments can be found in paragraphs 4  
22 and 5 of Exhibit 1.

23 4.2.5. Notwithstanding any other provision herein, the Parties agree that the  
24 Defendants shall not pay to Eligible Owners and Eligible Former Owners  
25 and Eligible Lessees and Eligible Former Lessees more than 100% of the  
26 maximum amount allocated for any specific Eligible Vehicle under the  
27 terms of this Class Action Agreement. For the avoidance of doubt, the  
28 Parties agree that this is a claims-made settlement, meaning that

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Defendants must make payments only up to the maximum amount for any Eligible Vehicle under the terms of this Class Action Agreement.

4.2.6. In accordance with paragraph 48 of the US-CA Consent Decree, this Section (4.2) is intended to comply with paragraph 37 of the US-CA Consent Decree (Establishment of a Recall Program), and to substitute for those consumer-facing obligations for Fiat Chrysler in paragraph 37 of the US-CA Consent Decree.

**4.3. Warranty Obligations.**

4.3.1. Extended Warranty. Fiat Chrysler shall provide an extended warranty for each Eligible Vehicle that receives the Approved Emissions Modification, known as the “Extended Warranty,” and provide a copy of the Extended Warranty to each Eligible Owner and Eligible Lessee at the time of the Approved Emissions Modification. The Extended Warranty under the Class Action Agreement, including all terms described in Section 4.3 of this Agreement and Exhibit 1, is identical to the terms negotiated and agreed to by Fiat Chrysler in paragraph 45 of the US-CA Consent Decree.

4.3.2. Other Warranty-Related Terms. The following warranty-related terms below (subparts (a)-(h)) also are provided to Class Members under the Class Action Agreement. Paragraphs (a) through (i) are identical to the terms agreed to by Fiat Chrysler in paragraph 45 of the US-CA Consent Decree.

(a) Fiat Chrysler shall not impose on consumers any fees or charges (and must pay any fees or charges imposed on consumers by any Dealer (as defined in paragraph 8(z) of the US-CA Consent Decree) in accordance with the applicable franchise agreements with such Dealers) related to the warranty service. Fiat Chrysler shall take all measures necessary to reimburse Eligible Owners and Eligible Lessees in the event that the scope of warranty coverage described in this Section, Exhibit 1

1 hereto, and paragraph 45 of the US-CA Consent Decree, is limited by any service  
2 writer's discretion.

3 (b) Modification of the Extended Warranty. In addition to the warranty  
4 coverage described in Exhibit 1, Fiat Chrysler shall expand the  
5 warranty coverage to include additional parts or service associated  
6 with the Approved Emissions Modification if alterations or updates  
7 are made to the Approved Emissions Modification either in  
8 accordance with paragraph 31 of the US-CA Consent Decree or  
9 through a modification of the US-CA Consent Decree in  
10 accordance with Section XVII (Modification). Fiat Chrysler  
11 reserves the right to invoke Dispute Resolution under Section X  
12 (Dispute Resolution) of the US-CA Consent Decree if, after  
13 consultation with EPA and CARB, the Parties are unable to agree  
14 on the need for or scope of any modification of the Extended  
15 Warranty under this Paragraph. In the event that the Extended  
16 Warranty is modified pursuant to this Paragraph, the modified  
17 Extended Warranty shall apply to all affected Eligible Vehicles that  
18 have received or will receive the Approved Emissions  
19 Modification.

20 (c) Extended Warranty Period. For all Eligible Vehicles that receive  
21 the Approved Emissions Modification on or before the Recall  
22 Target Deadline (as defined in paragraph 37 of the US-CA Consent  
23 Decree), the Extended Warranty Period (as defined in paragraph 45  
24 of the US-CA Consent Decree) shall be the greater of (i) 10 years  
25 from the date of initial sale or 120,000 actual miles on the vehicle  
26 odometer, whichever comes first; and (ii) 4 years or 48,000 miles,  
27 from date and mileage of installing the Approved Emissions  
28 Modification on the vehicle, whichever comes first. For any

1 Eligible Vehicle that receives the Approved Emissions  
2 Modification after the Recall Target Deadline, the Extended  
3 Warranty Period shall be as described in this Section, but in no  
4 event shall the Extended Warranty apply to or provide any coverage  
5 for the Eligible Vehicle after May 1, 2029. In the event that an  
6 Eligible Vehicle that has received the Approved Emissions  
7 Modification is resold, the remaining Extended Warranty Period, if  
8 any, is transferable to subsequent purchasers and shall continue  
9 through the date or mileage determined in this Paragraph for the  
10 benefit of such subsequent purchasers.

11 (d) Buyback and Lease Termination Remedies. In addition to any  
12 protections provided by applicable law (including those referenced  
13 in subparagraph 45.e. of the US-CA Consent Decree), Fiat Chrysler  
14 must provide a Buyback or Lease Termination to any Eligible  
15 Owner or Eligible Lessee of an Eligible Vehicle that receives the  
16 Approved Emissions Modification in the event that, during the 18  
17 months or 18,000 miles (whichever comes first) following the  
18 completion of the Approved Emissions Modification (the “Remedy  
19 Period”), Fiat Chrysler fails to repair or remedy a confirmed failure  
20 or malfunction covered by the Extended Warranty and associated  
21 with the Approved Emissions Modification (a “Warrantable  
22 Failure”) after the Eligible Owner or Eligible Lessee physically  
23 presents the Eligible Vehicle to a Dealer for repair of the  
24 Warrantable Failure; and (1) the Warrantable Failure is unable to be  
25 remedied after making four separate service visits to the same  
26 Dealer for the same Warrantable Failure during the Remedy Period;  
27 or (2) the Eligible Vehicle with the Warrantable Failure is out-of-  
28 service due to the Warrantable Failure for a cumulative total of

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thirty (30) days during the Remedy Period, not including any days when the Dealer returns or otherwise tenders the Eligible Vehicle to the customer while the Dealer awaits necessary parts and such vehicle remains Operable.

(e) Preservation of Remedies. The Extended Warranty shall be subject to any remedies provided by state or federal laws, such as the Magnuson-Moss Warranty Act, that provide consumers with protections, including without limitation “Lemon Law” protections, with respect to warranties.

(f) Warranty Database for Consumers. For ten (10) years following the Effective Date, Fiat Chrysler shall maintain a database by which users, including Eligible Owners, Eligible Lessees, and prospective purchasers, may conduct a free-of-charge search by vehicle VIN to determine whether the vehicle has received an Approved Emissions Modification and whether the Extended Warranty and any additional warranty extension(s) (as described in Paragraphs 45 and 86.k. of the US-CA Consent Decree) applies to the specific vehicle. Fiat Chrysler must provide the VINs for all such vehicles to the PSC within fifteen (15) Days of the PSC’s request.

(g) Warranty Database for Dealers. For twenty (20) years following the Effective Date, Fiat Chrysler shall maintain a database or other communications system that includes all Subject Vehicles, by which Dealers may search by vehicle VIN to determine whether the Extended Warranty and any additional warranty extension(s) apply to a specific Eligible Vehicle. Fiat Chrysler shall establish procedures such that the vehicle VIN shall dictate component or system coverage. Such procedures shall include a feature by which Dealers may enter an identification number or other code for any

1 part pertaining to an Eligible Vehicle and determine whether such  
2 part is covered by the Extended Warranty.

3 (h) Continued Service for Unmodified Vehicles. For Eligible Owners  
4 and Eligible Lessees who decline to receive the Approved  
5 Emissions Modification for an Eligible Vehicle, Fiat Chrysler must  
6 continue to service such Eligible Vehicle in accordance with  
7 existing applicable federal and state law warranty provisions  
8 (including any exclusions for modified parts, components, and  
9 software), consistent with paragraph 45.h. of the US-CA Consent  
10 Decree.

11 4.3.3. Warranty Disputes. The Claims Review Committee shall adjudicate  
12 disputes regarding any eligibility for Extended Warranty claims in  
13 accordance with the US-CA Consent Decree.

14 4.3.4. In accordance with paragraph 48 of the US-CA Consent Decree, this  
15 Section (4.3) is intended to comply with paragraph 45 of the US-CA  
16 Consent Decree (Extended Warranty), and to substitute for those  
17 consumer-facing obligations for Fiat Chrysler in paragraph 45 of the US-  
18 CA Consent Decree.

19 4.4. **Other Incorporated Warranties.** The Parties incorporate all warranties and  
20 Lemon Law provisions set forth in paragraph 45 of the US-CA Consent Decree as material terms  
21 of this Class Action Settlement.

22 4.5. **Approved Emissions Modification Disclosure.** As set forth in the US-CA  
23 Consent Decree, FCA US shall provide Eligible Owners, Eligible Lessees, and, as applicable,  
24 prospective purchasers of Subject Vehicles with a clear and accurate written disclosure as  
25 approved in the sole discretion of the EPA and CARB (the “Approved Emissions Modification  
26 Disclosure”) regarding the impacts of the Approved Emissions Modification on an Eligible  
27 Vehicle. The Approved Emissions Modification Disclosure shall also be made available online  
28 by FCA US through the Settlement Website, which will, among other things, display the

1 Approved Emissions Modification Disclosure applicable to a specific vehicle when a user inputs  
2 the Vehicle Identification Number (“VIN”). This online access shall continue for a minimum of  
3 ten (10) years after the US-CA Consent Decree is entered. As described more fully in the US-CA  
4 Consent Decree, the Approved Emissions Modification Disclosure will describe in plain  
5 language: (1) the Approved Emissions Modification and related software changes; (2) a clear  
6 explanation of each subsequent service action required by the Approved Emissions Modification,  
7 if any; (3) any and all reasonably predictable changes resulting from the Approved Emissions  
8 Modification, including but not limited to changes to reliability, durability, fuel economy, noise,  
9 vibration, vehicle performance, drivability, diesel exhaust fluid (“DEF”) consumption, and any  
10 other vehicle attributes that may reasonably be important to vehicle owners; (4) a basic summary  
11 of how Class Members can obtain the Approved Emissions Modification; (5) system limitations  
12 that make identification and repair of any components difficult or even impossible, compromise  
13 warranty coverage, or may reduce the effectiveness of inspection and maintenance program  
14 vehicle inspections; and (6) any other disclosures required under the terms of the US-CA Consent  
15 Decree.

16       4.6. **No Prohibition on Other Incentives.** Nothing in this Class Action Agreement is  
17 intended to prohibit Fiat Chrysler from offering any consumer any further incentives in addition  
18 to those provided herein; however, Fiat Chrysler may not offer Class Members other incentives in  
19 lieu of the options contained herein, in whole or in part, or any incentive not to participate in the  
20 Repair Program. Likewise, Fiat Chrysler shall request that Authorized Dealers not offer any  
21 incentive not to participate in the Repair Program.

22       4.7. **Disposition of Returned Vehicles.** As set forth more fully in paragraph 46 of the  
23 US-CA Consent Decree, unmodified Eligible Vehicles returned to Fiat Chrysler must receive the  
24 Approved Emissions Modification before they may be resold in the United States.

25       4.8. **Telephone Call Center.** FCA US shall establish a telephone call center to address  
26 Class Member inquiries. The Parties will agree as to what information will be provided by the  
27 telephone call center to inquiring Class Members. FCA US shall staff the call center with enough  
28 operators to ensure that Class Member hold time will be minimal, and in any event no more than

1 five minutes on average. In accordance with paragraph 48 of the US-CA Consent Decree, this  
2 Paragraph (4.8) is intended to comply with paragraph 38.c. of the US-CA Consent Decree (Call  
3 Center and Online Support), and to fulfill those consumer-facing obligations for Fiat Chrysler in  
4 paragraph 38.c. of the US-CA Consent Decree.

5       **4.9. Responsibility for Required Payments by Fiat Chrysler.** FCA US shall bear  
6 the ultimate responsibility for all required payments owed by Fiat Chrysler under this Class  
7 Action Agreement on a claims-made basis, as described herein. Fiat Chrysler's obligations of the  
8 Class Action Agreement apply to, and are binding upon, the Fiat Chrysler Entities and any of Fiat  
9 Chrysler's successors, assigns, or other entities or persons otherwise bound by law. FCA US  
10 bears the ultimate responsibility for making all payments owed by Fiat Chrysler, including, but  
11 not limited to, all costs and warranties associated with the Claims Program. Further, FCA US  
12 shall be jointly and severally responsible to implement all repair requirements described herein.  
13 Any legal successor or assign of FCA US shall assume FCA US's liability and remain jointly and  
14 severally liable for the payment and other performance obligations herein. FCA US shall include  
15 an agreement to so remain liable in the terms of any sale, acquisition, merger, or other transaction  
16 changing the ownership or control of any of its successors or assigns. No change in the  
17 ownership or control of any such entity shall affect the obligations herein of FCA US without  
18 modification of the Class Action Agreement.

19       **4.10. Responsibility for Required Payments by Bosch.** Bosch's obligations under this  
20 Class Action Agreement are limited solely to the payment of not more than \$27.5 million in  
21 accordance with Section 10 of this Class Action Agreement, and such further amount for  
22 attorney's fees, if any, as may be ordered as provided under this Class Action Agreement. Robert  
23 Bosch GmbH shall bear the ultimate responsibility for Bosch's payment obligations under this  
24 Class Action Agreement. Bosch's obligations under the Class Action Agreement apply to, and are  
25 binding upon, Robert Bosch GmbH and Robert Bosch LLC and any successors, assigns, or other  
26 entities or persons otherwise bound by law to satisfy their obligations.

27       **4.11. Tax Implications.** Class Members should consult their personal tax advisor for  
28 assistance regarding any tax ramifications of this Class Action Settlement. Neither the PSC nor

1 Defendants and their counsel are providing any opinion or advice as to the tax consequences or  
2 liabilities of Class Members as a result of any payments or benefits under this Class Action  
3 Settlement.

4 4.12. **Settlement Value.** This is a claims-made settlement. The estimated maximum  
5 aggregate value of the monetary component of this Class Action Settlement is \$307,460,800, if  
6 every Class Member participates in the Settlement by filing a timely and valid Claim. The  
7 estimated per-vehicle cost to Fiat Chrysler of the warranty components of this Class Action  
8 Settlement is approximately \$1,050. This Class Action Settlement is specifically designed, in  
9 conjunction with the US-CA Consent Decree, to incentivize and to facilitate the achievement of a  
10 minimum claims rate of 85%, and the parties are committed to achieving the highest claims rate  
11 possible in connection with this Class Action Settlement.

12 4.13. **Deceased, Divorced, Dissolved, or Bankrupt Claim Members.** Nothing in the  
13 Class Action Agreement shall prevent Class benefits from being provided, upon appropriate  
14 proof, to, or for the benefit of, an otherwise eligible Class Member, or that Class Member's estate  
15 or legal representative, notwithstanding that Class Member's death, divorce, dissolution, or  
16 bankruptcy (whether discharged or ongoing), in accordance with applicable law.

## 17 **5. CLASS CLAIMS PROCESS AND ADMINISTRATION**

18 5.1. **Claims Program.** As described in detail in Exhibit 4 to this Settlement  
19 Agreement, the Claims Program involves multiple steps depending on a Class Member's status.  
20 The process for submitting a Claim is designed to be as simple and convenient to Class Members  
21 as possible, consistent with the integrity of the Claims Program.

22 5.2. While the Approved Emission Modification and Extended Warranty are benefits  
23 available under the Class Action Settlement and the US-CA Consent Decree, all owners and  
24 lessees of Subject Vehicles are entitled to an Approved Emissions Modification starting 15 days  
25 after the Effective Date of the US-CA Consent Decree, regardless of whether they participate in  
26 this Claims Program. Under the US-CA Consent Decree, all owners and lessees who receive the  
27 Approved Emissions Modification also are entitled to the Extended Warranty, under the terms set  
28 forth in paragraph 45 of the US-CA Consent Decree and, for Class Members, the terms set forth

1 in Section 4 of the Class Action Agreement. Nothing in the Class Action Agreement is intended  
2 to impose any requirements or conditions that must be met in order for Class Members to receive  
3 the Approved Emissions Modification or the Extended Warranty. Nothing in the Class Action  
4 Agreement limits Class Members from receiving an Approved Emissions Modification from an  
5 Authorized Dealer. However, the Claims Program described in this Class Action Agreement  
6 shall be the exclusive process for submitting a claim for a Class Member Payment, and neither  
7 FCA nor any Authorized Dealer shall require or request an Individual Release for an Approved  
8 Emissions Modifications performed on an Eligible Vehicle for which no Claim has been  
9 submitted pursuant to this Class Action Agreement.

10 5.3. The Claims Program involves six steps. At **Step 1**, each Class Member will  
11 receive information about the Approved Emissions Modification. At **Step 2**, the Class Member  
12 will submit a Claim Form online or by mail that contains certain information about his or her  
13 Eligible Vehicle along with required documentation. Eligible Former Owners and Eligible  
14 Former Lessees must submit a complete and valid Claim within 90 days of the Court's Final  
15 Approval Order to receive benefits under this Class Action Agreement. At **Step 3**, the Class  
16 Member's document package and information will be reviewed for completeness and eligibility.  
17 At **Step 4**, FCA US will extend an offer if the Class Member is eligible. At **Step 5** the Class  
18 Member may accept the offer. At **Step 6**, Eligible Owners and Eligible Lessees promptly will  
19 receive their Class Member Payments after FCA US receives proof that they have received an  
20 Approved Emissions Modification. For Eligible Former Owners and Eligible Former Lessees,  
21 the Class Member will receive their Class Member Payment after they accept the offer.

22 5.4. **Claim Form Submissions after Completion of Approved Emissions**  
23 **Modifications.** An Eligible Owner or Eligible Lessee who receives an Approved Emissions  
24 Modification for his or her Eligible Vehicle prior to completing any of the six steps identified in  
25 Paragraph 5.3 will still be eligible for the Class Member Payment if that Eligible Owner or  
26 Eligible Lessee submits a Claim Form by the Claim Submission Deadline for Eligible Owners  
27 and Eligible Lessees and completes the remaining steps in the Claims Program.

28 5.5. **Loaner Vehicle.** FCA US will provide a loaner vehicle at no cost to the Eligible

1 Owner/Lessee for any Approved Emissions Modification that is scheduled to take longer than  
2 three hours or that is not complete within three hours of the scheduled start of the appointment.  
3 Class Members who receive a loaner vehicle under this provision will have 24 hours to return the  
4 vehicle from the time Fiat Chrysler notifies them that the Approved Emissions Modification is  
5 complete.

6       **5.6. Claims Administrator.** Based on information and documents collected from  
7 Class Members by FCA US, the Claims Administrator will oversee the implementation and  
8 administration of the Claims Program. The Claims Administrator's duties include, but are not  
9 limited to (1) receiving and maintaining on behalf of the Court any Class Member  
10 correspondence regarding requests for exclusion and/or objections to the Settlement as well as  
11 correspondence sent to the Claims Review Committee; (2) forwarding written inquiries to Class  
12 Counsel or its designee for a response, if warranted; and (3) preparing periodic reports on the  
13 progress and status of the Claims Program, as set forth in Section 5.7. All reasonable and  
14 necessary costs of claims administration and the fees and costs of the Claims Administrator shall  
15 be borne exclusively by FCA US.

16       **5.7. Reporting.** The Claims Administrator will prepare periodic reports on the  
17 progress and status of the Claims Program, as required by paragraphs 47 and 49 of the US-CA  
18 Consent Decree. The Claims Administrator shall provide reports to the Parties, and, as required  
19 by paragraphs 47 and 49 of the US-CA Consent Decree, shall do so on a monthly basis for the  
20 first year after the Effective Date and quarterly thereafter, and shall provide a final report within  
21 21 days of the final distribution of settlement funds to Class Members. These reports will include  
22 information sufficient to allow the Court and the Parties to assess the Claims Program's progress.

23       **5.8. The Court's Ongoing and Exclusive Jurisdiction.** The Court retains the  
24 ongoing and exclusive jurisdiction and independent case management authority, as MDL  
25 Transferee Judge and under Federal Rule of Civil Procedure 23, regarding the general operation  
26 of the Claims Program and those appointed to implement and oversee it.

## 27 **6. REQUESTS FOR EXCLUSION**

28       **6.1. Manner of Opting Out.** The Class Notice Program will provide instructions

1 regarding the procedures that must be followed to opt out of the Class pursuant to Fed. R. Civ. P.  
2 23(c)(2)(B)(v). The Parties agree that, to opt out validly from the Class, a Class Member must  
3 personally sign (electronic signatures, including DocuSign, are invalid and will not be considered  
4 personal signatures) and send a written request to opt out stating “I wish to exclude myself from  
5 the Class in *In re Chrysler-Dodge-Jeep Ecodiesel Marketing, Sales Practices, And Products*  
6 *Liability Litigation*, No. 17-md-02777,” (or substantially similar clear and unambiguous  
7 language) to the Claims Administrator on or before the Opt-Out Deadline (postmarked or emailed  
8 no later than the Opt-Out Deadline), or for Persons who purchase or lease an Eligible Vehicle on  
9 or after the Opt-Out Deadline but before the Claim Submission Deadline for Eligible Owners and  
10 Eligible Lessees, on or before the Subsequent Purchaser/Lessee Opt-Out Deadline (postmarked or  
11 emailed no later than the Subsequent Purchaser/Lessee Opt-Out Deadline). The Class Member  
12 must either (i) mail the signed written request to a physical address to be provided by Fiat  
13 Chrysler; or (ii) e-mail a complete and legible scanned copy or photograph of the signed written  
14 request to an email address to be provided by Fiat Chrysler. That written request must include the  
15 Class Member’s name, address, telephone number, and VIN of the Eligible Vehicle forming the  
16 basis of the Class Member’s inclusion in the Class, a statement as to whether the Class Member  
17 owns/owned or leases/leased the Eligible Vehicle, and the date(s) of the Class Member’s  
18 ownership or lease of the Eligible Vehicle (i.e., start date and, if applicable, end date of  
19 possession). For any Class Member who no longer owns or leases an Eligible Vehicle, evidence  
20 that the vehicle was sold or that the lease expired or was terminated also must be provided to  
21 validly opt out of the Class Action Settlement Agreement. The Parties retain discretion to  
22 determine whether any opt-out request substantially complies with the requirements above. The  
23 Claims Administrator will provide copies of all opt-out requests to Lead Plaintiffs’ Counsel, Fiat  
24 Chrysler’s Lead Counsel, and Bosch’s Lead Counsel within seven days of the receipt of each  
25 such request. Opt-out requests that are signed by an attorney but not by the Class Member are  
26 invalid.

27 **6.2. Consequences of Failure to Opt Out in a Timely and Proper Manner.** All  
28 Class Members who do not timely and properly opt out of the Class will in all respects be bound

1 by all terms of this Class Action Agreement and the Final Approval Order upon the Effective  
2 Date.

3           **6.3. Opting Out and Objecting Are Mutually Exclusive Options.** Any Class  
4 Member who elects to opt out pursuant to this Section may not also object to the Settlement. Any  
5 Class Member who elects to object pursuant to Section 7 herein may not also opt out pursuant to  
6 this Section.

7           **7. OBJECTIONS TO THE SETTLEMENT**

8           **7.1. Manner of Objecting.** The Class Notice Program will provide instructions  
9 regarding the procedures that must be followed to object to the Settlement pursuant to Federal  
10 Rule of Civil Procedure 23(e)(5). Provided that a Class Member has not submitted a written  
11 request to opt out, as set forth in Section 6, the Class Member may present written objections, if  
12 any, explaining why he or she believes the Class Action Settlement should not be approved by the  
13 Court as fair, reasonable, and adequate. No later than such date as is ordered by the Court, a  
14 Class Member who wishes to object to any aspect of the Class Action Settlement must file with  
15 the Court, or as the Court otherwise may direct, a written statement of the objection(s). The  
16 written statement of objection(s) must include a detailed statement of the Class Member's  
17 objection(s), as well as the specific reasons, if any, for each such objection, including any  
18 evidence and legal authority the Class Member wishes to bring to the Court's attention. That  
19 written statement also will contain the Class Member's printed name, address, telephone number,  
20 and VIN of the Eligible Vehicle forming the basis of the Class Member's inclusion in the Class,  
21 the dates of the Class Member's ownership or lease of the Eligible Vehicle, a statement as to  
22 whether the Class Member is an Eligible Owner, Eligible Lessee, Eligible Former Owner, or  
23 Eligible Former Lessee, a statement that the Class Member has reviewed the Class definition and  
24 has not opted out of the Class, and any other supporting papers, materials, or briefs the Class  
25 Member wishes the Court to consider when reviewing the objection.

26           **7.2. Objecting Through Counsel.** A Class Member may object on his or her own  
27 behalf or through a lawyer hired at that Class Member's own expense, provided the Class  
28 Member has not submitted a written request to opt out, as set forth in Section 6. The objection

1 must state whether it applies only to the objector, to a specific subset of the Class, or to the entire  
2 Class, and also state with specificity the grounds for the objection. Lawyers asserting objections  
3 on behalf of Class Members must: (1) file a notice of appearance with the Court by the date set  
4 forth in the Order Approving Notice and Class Certification Order, or as the Court otherwise may  
5 direct; (2) file a sworn declaration attesting to his or her representation of each Class Member on  
6 whose behalf the objection is being filed or file (in camera) a copy of the contract between that  
7 lawyer and each such Class Member; and (3) comply with the procedures described in this  
8 Section. Lawyers asserting objections on behalf of Class Members also must file a sworn  
9 declaration that specifies the number of times during the prior five-year period they have objected  
10 to a class action settlement on their own behalf or on behalf of a class member.

11 **7.3. Intent to Appear at the Fairness Hearing.** A Class Member (or counsel  
12 individually representing him or her, if any) seeking to make an appearance at the Fairness  
13 Hearing must file with the Court, by the date set forth in the Order Approving Notice, a written  
14 notice of his or her intent to appear at the Fairness Hearing, in accordance with the requirements  
15 set forth in the Order Approving Notice, or by such time and in such manner as the Court may  
16 otherwise direct.

17 **7.4. Consequences of Failure to Object in a Timely and Proper Manner.** Unless  
18 the Court directs otherwise, any Class Member who fails to comply with the provisions of this  
19 Section will waive and forfeit any and all rights he, she, or it may have to object to the Class  
20 Action Settlement and/or to appear and be heard on said objection at the Fairness Hearing.  
21 Failure to object waives a Class Member's right to appeal.

## 22 **8. DUTIES OF THE NOTICE AND CLAIMS ADMINISTRATOR**

23 **8.1.** The Notice and Claims Administrator shall be responsible for, without limitation:  
24 (1) printing, mailing by First-Class U.S. Mail, postage paid, or arranging for the mailing of,  
25 and/or e-mailing of, the Short Form Notice (attached as Exhibit 2); (2) updating Class Member  
26 address information prior to mailing using the National Change of Address (NCOA) system; (3)  
27 handling returned notice-related mail not delivered to Class Members; (4) attempting to obtain  
28 updated address information for any Short Form Notices returned without a forwarding address;

1 (5) responding to requests for Long Form Notice packages; (6) establishing a post-office box for  
2 the receipt of any correspondence; (7) responding to requests from Class Counsel, Fiat Chrysler's  
3 Lead Counsel, or Bosch's Lead Counsel; (8) assisting in the creation of Notice-related content for  
4 the Settlement Websites to which Class Members may refer for information about the Action and  
5 the Class Action Settlement; (9) otherwise implementing and/or assisting with the dissemination  
6 of the notice of the Class Action Settlement; (10) consulting on the Settlement Website during the  
7 Settlement Benefit Period; (11) processing and issuing the Class Member Payments from the  
8 Escrow Account in accordance with the allocation plan between funds contributed by FCA US  
9 and Bosch; and (12) auditing 10% of submitted Claims, chosen at random.

10 8.2. The Notice Administrator shall be responsible for arranging for the publication of  
11 notice, establishing Internet banner notifications, and for consulting on other aspects of the Class  
12 Notice Program including, but not limited to, media outreach, including advertisements, in  
13 national newspapers, trade publications, and the Internet. The print advertisements will be  
14 substantially similar to the Short Form Notice.

15 8.3. **Additional Notices.** At least 180 days prior to the end of the Settlement Benefit  
16 Period, FCA US shall send (or cause to be sent) a notice of reminder ("Reminder Notice") to  
17 reasonably identifiable Class Members that have not submitted a claim, opted out of the Class  
18 Action Settlement, or missed an applicable Claim Submission Deadline. The Reminder Notice  
19 shall inform such Class Members of the deadlines to submit a claim in order to receive benefits  
20 pursuant to this Class Action and shall direct them to the Settlement Website. At least 120 days  
21 prior to the end of the Settlement Benefit Period, FCA US shall send (or cause to be sent) to those  
22 Class Members who have not yet submitted a claim, opted out pursuant to this Settlement  
23 Agreement, or missed an applicable Claim Submission Deadline another Reminder Notice. The  
24 Reminder Notices shall be sent by First Class U.S. Mail, postage paid, or by email.

25 8.4. All reasonable and necessary costs of the Class Notice Program and the fees and  
26 costs of the Notice Administrator shall be borne exclusively by FCA US.

27 8.5. Within two days of the issuance of the Order Approving Notice, FCA US shall  
28 transfer or pay to the Notice Administrator an amount sufficient to cover the initial costs of the

1 Class Notice Program.

2 8.6. The Notice Administrator may retain one or more persons to assist in the  
3 completion of his or her responsibilities.

4 8.7. At the earliest practicable time, Defendants shall send to each appropriate state and  
5 federal official the materials specified in 28 U.S.C. § 1715 and otherwise comply with its terms.  
6 The identities of such officials and the content of the materials shall be mutually agreed to by the  
7 Parties.

8 8.8. Not later than ten (10) days before the date of the Fairness Hearing, the Notice  
9 Administrator shall file with the Court a list of those persons who have opted out or excluded  
10 themselves from the Settlement. The Notice Administrator shall file with the Court the details  
11 outlining the scope, method and results of the Class Notice Program.

12 8.9. The Claims Administrator and the Parties shall promptly after receipt provide  
13 copies of any requests for exclusion, objections and/or related correspondence to each other.

14 8.10. In accordance with paragraph 48 of the US-CA Consent Decree, this Section 8 is  
15 intended to comply with paragraph 43 of the US-CA Consent Decree (Notices and Disclosures  
16 Regarding Recall Program), and to fulfill the consumer-facing obligations for Fiat Chrysler in  
17 paragraph 43 of the US-CA Consent Decree.

18 **9. RELEASE AND WAIVER**

19 9.1. The Parties agree to the following release and waiver (as defined above, the  
20 Release), which shall take effect upon entry of the Final Approval Order. The terms of the  
21 Release are a material term of the Class Action Agreement and will be reflected in the Final  
22 Approval Order.

23 9.2. **Released Parties.** Released Parties means any person who, or entity that, is or  
24 could be responsible or liable in any way whatsoever, whether directly or indirectly, for the 3.0-  
25 liter Diesel Matter. The Released Parties include, without limitation, (1) Fiat Chrysler  
26 Automobiles N.V., FCA US LLC, VM Motori S.p.A, VM Motori North America, Sergio  
27 Marchionne and his estate and his executors, Robert Bosch LLC and Robert Bosch GmbH, and  
28 any former, present, and future owners, shareholders (direct or indirect), members (direct or

1 indirect), directors, officers, members of management or supervisory boards, employees,  
2 attorneys, affiliates, parent companies (direct or indirect), subsidiaries (direct or indirect),  
3 predecessors, and successors of any of the foregoing (the “Released Entities”); (2) any and all  
4 contractors, subcontractors, joint venture partners, consultants, auditors, and suppliers of the  
5 Released Entities; (3) any and all persons and entities indemnified by any Released Entity with  
6 respect to the 3.0-liter Diesel Matter; (4) any and all other persons and entities involved in the  
7 design, research, development, manufacture, assembly, testing, sale, leasing, repair, warranting,  
8 marketing, advertising, public relations, promotion, or distribution of any Eligible Vehicle, even  
9 if such persons are not specifically named in this paragraph, including without limitation all  
10 Authorized Dealers, as well as non-authorized dealers and sellers; (5) Claims Administrator;  
11 (6) Notice Administrator; (7) lenders, creditors, financial institutions, or any other parties that  
12 financed any purchase or lease of an Eligible Vehicle; and (8) for each of the foregoing, their  
13 respective former, present, and future affiliates, parent companies, subsidiaries, predecessors,  
14 successors, shareholders, indemnitors, subrogees, spouses, joint ventures, general or limited  
15 partners, attorneys, assigns, principals, officers, directors, members of management or  
16 supervisory boards, employees, members, agents, representatives, trustees, insurers, reinsurers,  
17 heirs, beneficiaries, wards, estates, executors, administrators, receivers, conservators, personal  
18 representatives, divisions, dealers, and suppliers.

19       **9.3. Class Release.** In consideration for the Settlement, Class Members, on behalf of  
20 themselves and their agents, heirs, executors and administrators, successors, assigns, insurers,  
21 attorneys (including any attorney engaged by Class Members who is not Class Counsel),  
22 representatives, shareholders, owners associations, and any other legal or natural persons who  
23 may claim by, through, or under them (the “Releasing Parties”), fully, finally, irrevocably, and  
24 forever release, waive, discharge, relinquish, settle, and acquit any and all claims, demands,  
25 actions, or causes of action, whether known or unknown, that they may have, purport to have, or  
26 may have hereafter against any Released Party, as defined above, arising out of or in any way  
27 related to the 3.0-liter Diesel Matter. This Release applies to any and all claims, demands,  
28 actions, or causes of action of any kind or nature whatsoever, whether in law or in equity,

1 contractual, quasi-contractual or statutory, known or unknown, direct, indirect or consequential,  
2 liquidated or unliquidated, past, present or future, foreseen or unforeseen, developed or  
3 undeveloped, contingent or non-contingent, suspected or unsuspected, whether or not concealed  
4 or hidden, arising from or in any way related to the 3.0-liter Diesel Matter, including without  
5 limitation (1) any claims that were or could have been asserted in the Action; and (2) any claims  
6 for fines, penalties, economic damages, punitive damages, exemplary damages, liens, injunctive  
7 relief, attorneys' fees (except as provided in Section 11 of this Class Action Agreement), expert,  
8 consultant, or other litigation fees or costs other than fees and costs awarded by the Court in  
9 connection with this Settlement or to attorneys other than Class Counsel, or any other liabilities,  
10 that were or could have been asserted in any civil, administrative, or other proceeding, including  
11 arbitration (the "Released Claims"). This Release applies without limitation to any and all such  
12 claims, demands, actions, or causes of action regardless of the legal or equitable theory or nature  
13 under which they are based or advanced including without limitation legal and/or equitable  
14 theories under any federal, state, provincial, local, tribal, administrative, or international law, or  
15 statute, ordinance, code, regulation, contract, common law, equity, or any other source, and  
16 whether based in strict liability, negligence, gross negligence, punitive damages, nuisance,  
17 trespass, breach of warranty, misrepresentation, breach of contract, fraud, or any other legal or  
18 equitable theory, whether existing under the laws of the United States, a State, territory, or  
19 possession of the United States, or of any other foreign or domestic state, territory, or other legal  
20 or governmental body, whether existing now or arising in the future, that arise from or in any way  
21 relate to the 3.0-liter Diesel Matter. Notwithstanding the foregoing, this Agreement does not  
22 release any claims for wrongful death or personal injury.

23           **9.4. Possible Future Claims.** For the avoidance of doubt, Class Members expressly  
24 understand and acknowledge that they may hereafter discover claims presently unknown or  
25 unsuspected, or facts in addition to or different from those that they now know or believe to be  
26 true, related to the 3.0-liter Diesel Matter, the Action and/or the Release herein. Nevertheless, it  
27 is the intention of Class Counsel and the Settlement Class Representatives in executing this Class  
28 Action Agreement to fully, finally, irrevocably, and forever release, waive, discharge, relinquish,

1 settle, and acquit all such matters, and all claims relating thereto which exist, hereafter may exist,  
2 or might have existed (whether or not previously or currently asserted in any action or  
3 proceeding) with respect to the 3.0-liter Diesel Matter.

4           **9.5. Release of “Holder Rule” Claims.** In exchange for the Class Action Settlement  
5 compensation and remedies described in Section 4 and Exhibit 1, Class Members shall execute a  
6 release releasing their potential claims under the Trade Regulation Rule Concerning the  
7 Preservation of Consumers’ Claims and Defenses, 16 C.F.R. § 433.2 (the “Holder Rule”), relating  
8 to the 3.0-liter Diesel Matter.

9           **9.6. Waiver of California Civil Code Section 1542 and Analogous Provisions.**  
10 Settlement Class Representatives expressly understand and acknowledge, and Class Members  
11 will be deemed to understand and acknowledge Section 1542 of the California Civil Code, which  
12 provides: “**A general release does not extend to claims which the creditor does not know or**  
13 **suspect to exist in his or her favor at the time of executing the release, which if known by**  
14 **him or her must have materially affected his or her settlement with the debtor.**” Each  
15 Settlement Class Representative expressly acknowledges that he, she, or it has been advised by  
16 Class Counsel of the contents and effect of Section 1542 and that he, she, or it has considered the  
17 possibility that the number or magnitude of all claims may not currently be known. To ensure  
18 that this Release is interpreted fully in accordance with its terms, Class Members expressly waive  
19 and relinquish any and all rights and benefits that they may have under Section 1542 to the extent  
20 that such Section may be applicable to the Release. Class Members likewise expressly waive and  
21 relinquish any rights or benefits of any law of any state or territory of the United States, federal  
22 law or principle of common law, or of international or foreign law, which is similar, comparable,  
23 analogous, or equivalent to Section 1542 of the California Code to the extent that such laws or  
24 principles may be applicable to the Release.

25           **9.7. Individual Release.** Each Class Member who receives a Class Member Payment  
26 shall be required to execute an Individual Release, in the form attached as Exhibit 5, as a  
27 precondition to receiving such payment. Consistent with the Release provided in this Agreement,  
28 the Individual Release will provide that the Class Member releases all of the Released Parties

1 from any and all present and future claims (as described in Section 9) arising out of or related to  
2 the 3.0-liter Diesel Matter. The Individual Release shall remain effective even if the Final  
3 Approval Order is reversed and/or vacated on appeal, or if this Class Action Agreement is  
4 abrogated or otherwise voided in whole or in part.

5       **9.8. Actions or Proceedings Involving Released Claims.** Class Members who do not  
6 opt out expressly agree that this Release, and the Final Approval Order, is, will be, and may be  
7 raised as a complete defense to, and will preclude, any action or proceeding specified in, or  
8 involving claims encompassed by, this Release. Class Members who do not opt out shall not now  
9 or hereafter institute, maintain, prosecute, assert, and/or cooperate in the institution,  
10 commencement, filing or prosecution of any suit, action, and/or other proceeding, against the  
11 Released Parties with respect to the claims, causes of action and/or any other matters subject to  
12 this Release. To the extent that they have initiated, or caused to be initiated, any suit, action, or  
13 proceeding not already encompassed by the Action, Class Members who do not opt out shall  
14 cause such suit, action, or proceeding to be dismissed with prejudice. If a Class Member who  
15 does not opt out commences, files, initiates, or institutes any new legal action or other proceeding  
16 for any Released Claim against any Released Party in any federal or state court, arbitral tribunal,  
17 or administrative or other forum, (1) such legal action or other proceeding shall be dismissed with  
18 prejudice and at that Class Member's cost; and (2) the respective Released Party shall be entitled  
19 to recover any and all reasonable related costs and expenses from that Class Member arising as a  
20 result of that Class Member's breach of his, her, or its obligations under this Release. Within five  
21 business days of the Post-Appeal Date, Class Counsel will dismiss the Complaint with prejudice.

22       **9.9. Ownership of Released Claims.** Settlement Class Representatives represent and  
23 warrant that they are the sole and exclusive owners of any and all claims that they personally are  
24 releasing under this Class Action Agreement. Settlement Class Representatives further  
25 acknowledge that they have not assigned, pledged, or in any manner whatsoever, sold,  
26 transferred, assigned or encumbered any right, title, interest or claim arising out of or in any way  
27 whatsoever pertaining to the 3.0-liter Diesel Matter, including without limitation, any claim for  
28 benefits, proceeds or value under the Action, and that Settlement Class Representatives are not

1 aware of anyone other than themselves claiming any interest, in whole or in part, in any benefits,  
2 proceeds or values to which Settlement Class Representatives may be entitled as a result of the  
3 3.0-liter Diesel Matter. Class Members submitting a Claim Form shall represent and warrant  
4 therein that they are the sole and exclusive owner of all claims that they personally are releasing  
5 under the Class Action Agreement and that they have not assigned, pledged, or in any manner  
6 whatsoever, sold, transferred, assigned or encumbered any right, title, interest or claim arising out  
7 of or in any way whatsoever pertaining to the Actions, including without limitation, any claim for  
8 benefits, proceeds or value under the Actions, and that such Class Members are not aware of  
9 anyone other than themselves claiming any interest, in whole or in part, in any benefits, proceeds  
10 or values to which those Class Members may be entitled as a result of the 3.0-liter Diesel Matter.

11 **9.10. Total Satisfaction of Released Claims.** Any benefits pursuant to the Class  
12 Action Agreement are in full, complete, and total satisfaction of all of the Released Claims  
13 against the Released Parties. Such benefits are sufficient and adequate consideration for each and  
14 every term of this Release, and this Release shall be irrevocably binding upon Settlement Class  
15 Representatives and Class Members who do not opt out of the Class.

16 **9.11. Release Not Conditioned on Claim or Payment.** The Release shall be effective  
17 with respect to all Releasing Parties, including all Class Members who do not opt out, regardless  
18 of whether those Class Members ultimately submit a Claim under this Class Action Agreement.

19 **9.12. Basis for Entering Release.** Class Counsel acknowledge that they have  
20 conducted sufficient independent investigation and discovery to enter into this Class Action  
21 Agreement and that they execute this Class Action Agreement freely, voluntarily, and without  
22 being pressured or influenced by, or relying on any statements, representations, promises, or  
23 inducements made by the Released Parties or any person or entity representing the Released  
24 Parties, other than as set forth in this Class Action Agreement. Settlement Class Representatives  
25 acknowledge, agree, and specifically represent and warrant that they have discussed with Class  
26 Counsel the terms of this Class Action Agreement and have received legal advice with respect to  
27 the advisability of entering into this Class Action Agreement and the Release, and the legal effect  
28 of this Class Action Agreement and the Release. The representations and warranties made

1 throughout the Class Action Agreement shall survive the execution of the Class Action  
2 Agreement and shall be binding upon the respective heirs, representatives, successors and assigns  
3 of the Parties.

4 9.13. **Material Term.** Settlement Class Representatives and Class Counsel hereby  
5 agree and acknowledge that this Section 9 in its entirety was separately bargained for and  
6 constitutes a key, material term of the Class Action Agreement that shall be reflected in the Final  
7 Approval Order.

8 9.14. **Reservation of Claims.** This Class Action Agreement shall resolve the claims of  
9 Class Members who do not opt out only as they relate to the 3.0-liter Diesel Matter. The Parties  
10 reserve all rights to litigate liability and equitable relief of any sort for any subset of vehicles,  
11 purchasers, or lessees not expressly covered by this Class Action Agreement.

12 9.15. **Released Parties' Releases of Settlement Class Representatives, the Class, and**  
13 **Counsel.** Upon the Effective Date, Released Parties absolutely and unconditionally release and  
14 forever discharge the Settlement Class Representatives, Class Members, Defendants' counsel and  
15 Class Counsel from any and all claims relating to the institution or prosecution of the Action.

16 9.16. **Jurisdiction.** The Court shall retain exclusive and continuing jurisdiction over all  
17 Parties, the Action, and this Class Action Agreement to resolve any dispute that may arise  
18 regarding this Class Action Agreement or in relation to this Action, including any dispute  
19 regarding validity, performance, interpretation, administration, enforcement, enforceability, or  
20 termination of the Class Action Agreement and no Party shall oppose the reopening and  
21 reinstatement of the Action on the MDL Court's active docket for the purposes of effecting this  
22 Section.

## 23 **10. ESCROW ACCOUNT**

24 10.1. Within ten business days after the Court enters the Final Approval Order, FCA US  
25 and Bosch shall each fund the Escrow Account with their respective "Funding Amount," which  
26 funds shall be used, as necessary, to compensate Class Members who submit valid Claims  
27 pursuant to this Class Action Agreement. The initial Funding Amount shall be \$45 million from  
28 FCA US and \$5 million from Bosch. If and when the funding level of the Escrow Account falls

1 below 15% of the outstanding remaining liability to Class Members under this Class Action  
2 Agreement, the Escrow Agent shall notify FCA US and Bosch in writing. Bosch shall, within ten  
3 business days thereafter, and FCA US shall, within seven business days, deposit such funds in the  
4 Escrow Account as are necessary to bring the balance of the Escrow Account back to no less than  
5 15% of their respective outstanding remaining liabilities to Class Members, provided, however,  
6 that Bosch shall in no event be required to deposit more than a total of \$27.5 million in the  
7 Escrow Account, and provided further that the minimum balance will in no event exceed the  
8 remaining maximum compensation due to all Class Members.

9 10.2. Within thirty days of the conclusion of the Settlement Benefit Period, any funds in  
10 the Escrow Account (if any), including all interest accrued, shall be returned to FCA US and to  
11 Bosch pro rata to the amount of their respective deposits in the Escrow Account.

12 10.3. In the event that the Class Action Settlement is terminated or invalidated for any  
13 reason prior to the conclusion of the Settlement Benefit Period, any funds in the Escrow Account,  
14 including all interest accrued, shall be returned to FCA US and to Bosch pro rata to the amount of  
15 their respective deposits in the Escrow Account.

16 **11. ATTORNEYS' FEES AND EXPENSES**

17 11.1. FCA US and Bosch agree to pay reasonable attorneys' fees and expenses to Class  
18 Counsel for work performed pursuant to Pretrial Order No. 4: Protocol for Common Benefit  
19 Work and Expenses by Class Counsel and other attorneys designated by Class Counsel to  
20 perform work in connection with the Action in an amount to be negotiated by the Parties and that  
21 must be approved by the Court. Defendants do not agree to pay fees or expenses for any work  
22 that is not performed pursuant to Pretrial Order No. 4 by Class Counsel and other attorneys  
23 designated by Class Counsel to perform work in connection with the Action, and this Class  
24 Action Agreement expressly releases Defendants from any such payments that otherwise may be  
25 due by operation of law or otherwise. Defendants and Class Counsel represent that they have not  
26 discussed the amount of fees and expenses to be paid prior to agreement on the material terms of  
27 this Class Action Agreement. Class Counsel and counsel for FCA US will attempt to negotiate  
28 the amount of attorneys' fees and expenses to be paid by FCA US after the execution of this Class

1 Action Agreement. Class Counsel and counsel for Bosch will also attempt to negotiate the  
2 amount of attorneys' fees and expenses to be paid by Bosch after the execution of this Class  
3 Action Agreement. If the Parties reach an agreement about the amount of attorneys' fees and  
4 expenses for work performed pursuant to Pretrial Order No. 4, Class Counsel will submit the  
5 negotiated amount to the Court for approval, and Defendants will wire to an account specified by  
6 Lead Plaintiffs' Counsel all attorneys' fees and expenses approved by the Court within three days  
7 of the Court's order approving such fees and expenses. The Parties may agree upon a reasonable  
8 extension to the three-day deadline as necessary. If the Parties do not reach an agreement as to  
9 the amount of attorneys' fees and expenses, the Parties will litigate the fee issues, and each Party  
10 will present its respective position to the Court for determination, but the Parties must mediate the  
11 all fee issues before the Settlement Master before litigating. If the Settlement Master fee  
12 mediation process does not result in an agreement, the litigation of the fee issues will be subject  
13 to the Parties' agreement that: (1) attorneys' fees and expenses will be paid by FCA US and  
14 Bosch in addition to the compensation provided to Class Members under this Class Action  
15 Agreement; (2) each Party will be free to argue for what it believes is a reasonable fee; (3) FCA  
16 US, Bosch, and Class Counsel will request that the Court issue an Order setting forth the amount  
17 to be paid in attorneys' fees and expenses to be paid by FCA US and Bosch in this action, and  
18 providing that Class Counsel will not be permitted to seek additional fees and expenses after the  
19 Court makes its award; and (4) the Parties shall have the right to appeal the Court's determination  
20 as to the amount of attorneys' fees and expenses. Fiat Chrysler and Bosch reserve all rights to  
21 object to an award of attorney's fees and/or expenses beyond what they believe to be reasonable.  
22 No attorneys other than Class Counsel or other attorneys authorized by Class Counsel to perform  
23 work in connection with this Action shall receive fees or expenses from Defendants under this  
24 Class Agreement or any fee-shifting statute.

25 **11.2. No Credit for Attorneys' Fees or Costs.** To the extent FCA US elects or is  
26 ordered to pay private attorneys' fees or costs, FCA US will not receive credit for such payments  
27 against obligations to Class Members under this Class Action Agreement and the Final Approval  
28 Order. Defendants reserve the right to challenge attorneys' fees or costs to the extent the request

1 for an award of fees and costs exceeds the fees and costs that FCA US has agreed to pay.

2 **12. PROPOSED SCHEDULE FOR APPROVAL OF SETTLEMENT**

3 12.1. **Order Approving Notice.** As set forth herein, on January 10, 2019, the Parties  
4 shall file with the Court a Motion for an Order Approving Notice.

5 12.2. **Final Settlement Approval Order and Judgment.** On or before February 25,  
6 2019, or any subsequently mutually agreed upon date, Class Counsel shall file with the Court a  
7 motion seeking a Final Judgment Approving and Providing for the Enforcement of the Class  
8 Action Settlement.

9 12.3. **Proposed Schedule.** A comprehensive potential schedule for the approval of this  
10 Settlement is set forth below, subject, of course, to the views of the Court. The Parties will use  
11 their best efforts to advance the Settlement along the lines outlined in the proposed schedule set  
12 forth below, recognizing it is subject to change, as required by Court order and/or agreed to by the  
13 Parties.

| Date                            | Event   |
|---------------------------------|---|
| January 10, 2019                | Settlement Class Representatives file Motion for an Order Approving Notice  |
| January 23, 2019                | Hearing on Motion for an Order Approving Notice<br>[Remainder of schedule assumes entry of Order Approving Notice on this date]   |
| January 24, 2019                | Class Notice Program begins   |
| February 25, 2019               | Motions for Final Approval and Attorneys' Fees and Expenses filed   |
| April 1, 2019                   | Objection and Opt-Out Deadline  |
| April 22, 2019                  | Reply Memoranda in Support of Final Approval and Fee/Expense Application filed  |
| April 29, 2019 –<br>May 3, 2019 | Final Approval Hearing. While the timing and outcome of every determination is at the Court's discretion, the Parties to this Class Action Agreement request and anticipate that the Court would enter the US-CA Consent Decree at the same time as the Final Approval Order. |
| Effective Date                  | Claims Period Begins  |

1 **13. AGREEMENT TO COOPERATE TO EFFECTUATE SETTLEMENT**

2 13.1. Counsel for all Parties warrant and represent that they are expressly authorized by  
3 the Parties whom they represent to negotiate this Class Action Agreement. The persons signing  
4 this Class Action Agreement on behalf of each Party warrants that he or she is authorized to sign  
5 this Class Action Agreement on behalf of that Party.

6 13.2. The Parties and their respective counsel will cooperate with each other, act in good  
7 faith, and use their best efforts to effect the implementation of the Class Action Agreement and  
8 advance the Settlement Claims Program. In the event the Parties are unable to reach agreement  
9 on the form or content of any document needed to implement the Class Action Agreement, or on  
10 any supplemental provisions that may become necessary to effectuate the terms of this Class  
11 Action Agreement, the Parties may seek the assistance of the Settlement Master or the Court to  
12 resolve such disagreement.

13 13.3. The Parties further agree to make all reasonable efforts to ensure the timely and  
14 expeditious administration and implementation of the Class Action Agreement and to minimize  
15 the costs and expenses incurred therein.

16 **14. MODIFICATION OR TERMINATION OF THIS CLASS ACTION AGREEMENT**

17 14.1. The terms and provisions of this Class Action Agreement may be amended,  
18 modified, or expanded by written agreement of the Parties and approval of the Court; provided,  
19 however, that after entry of the Final Approval Order, the Parties may by written agreement effect  
20 such amendments, modifications, or expansions of this Class Action Agreement and its  
21 implementing documents (including all exhibits hereto) without further notice to the Class or  
22 approval by the Court if such changes are consistent with the Court's Final Approval Order and  
23 do not limit the rights of Class Members under this Class Action Agreement.

24 14.2. Any unintended conflicts between the Class Action Agreement and the US-CA  
25 Consent Decree shall not be held against any of the Parties, but shall instead be resolved by  
26 mutual agreement of the Parties, with the aid of the Settlement Master and, if necessary, the  
27 Court.

28 14.3. This Class Action Agreement shall terminate at the discretion of either Defendants

1 or the Settlement Class Representatives, through Class Counsel, if: (1) the Court, or any appellate  
2 court(s), rejects, modifies, or denies approval of any portion of this Class Action Agreement or  
3 the proposed Settlement that the terminating Party in its (or their) sole judgment and discretion  
4 reasonably determine(s) is material, including, without limitation, the terms of relief, the findings,  
5 or conclusions of the Court, the provisions relating to notice, the definition of the Class, and/or  
6 the terms of the Release; or (2) the Court, or any appellate court(s), does not enter or completely  
7 affirm, or alters, narrows or expands, any portion of the Final Approval Order, or any of the  
8 Court's findings of fact or conclusions of law, that the terminating Party in its (or their) sole  
9 judgment and discretion reasonably determine(s) is material. The terminating Party must exercise  
10 the option to withdraw from and terminate this Class Action Agreement, as provided in this  
11 Section 14, by a signed writing served on the other Parties no later than twenty days after  
12 receiving notice of the event prompting the termination. The Parties will be returned to their  
13 positions status quo ante.

14 14.4. If an option to withdraw from and terminate this Class Action Agreement arises  
15 under Section 14.3 above, neither Defendants nor Settlement Class Representatives are required  
16 for any reason or under any circumstance to exercise that option and any exercise of that option  
17 shall be in good faith.

18 14.5. If, but only if, this Class Action Agreement is terminated pursuant to Section 14.3,  
19 above, then:

20 14.5.1. This Class Action Agreement shall be null and void and shall have no  
21 force or effect, and no Party to this Class Action Agreement shall be  
22 bound by any of its terms, except for the terms of Section 14.3 herein;

23 14.5.2. The Parties will petition the Court to have any stay orders entered  
24 pursuant to this Class Action Agreement lifted;

25 14.5.3. All of the provisions of this Class Action Agreement, and all negotiations,  
26 statements, and proceedings relating to it, shall be without prejudice to  
27 the rights of Defendants, Settlement Class Representatives, or any Class  
28 Member, all of whom shall be restored to their respective positions

1 existing immediately before the execution of this Class Action  
2 Agreement, except that the Parties shall cooperate in requesting that the  
3 Court set a new scheduling order such that no Party's substantive or  
4 procedural rights are prejudiced by the settlement negotiations and  
5 proceedings;

6 14.5.4. Released Parties expressly and affirmatively reserve all defenses,  
7 arguments, and motions as to all claims that have been or might later be  
8 asserted in the Action, including, without limitation, the argument that the  
9 Action may not be litigated as a class action;

10 14.5.5. Settlement Class Representatives and all other Class Members, on behalf  
11 of themselves and their heirs, assigns, executors, administrators,  
12 predecessors, and successors, expressly and affirmatively reserve and do  
13 not waive all motions as to, and arguments in support of, all claims,  
14 causes of action or remedies that have been or might later be asserted in  
15 the Action including, without limitation, any argument concerning class  
16 certification, and treble or other damages;

17 14.5.6. Defendants expressly and affirmatively reserve and do not waive all  
18 motions and positions as to, and arguments in support of, all defenses to  
19 the causes of action or remedies that have been sought or might be later  
20 asserted in the Action, including without limitation, any argument or  
21 position opposing class certification, liability, damages, or injunctive  
22 relief;

23 14.5.7. Neither this Class Action Agreement, the fact of its having been entered  
24 into, nor the negotiations leading to it shall be admissible or entered into  
25 evidence for any purpose whatsoever;

26 14.5.8. Any settlement-related order(s) or judgment(s) entered in this Action after  
27 the date of execution of this Class Action Agreement shall be deemed  
28 vacated and shall be without any force or effect;

1           14.5.9. FCA US shall bear all reasonable and necessary costs incurred by the  
2           Claims Administrator and Notice Administrator in connection with the  
3           implementation of this Class Action Settlement up until its termination.  
4           Neither the Settlement Class Representatives nor Class Counsel shall be  
5           responsible for any such settlement-related costs; and

6           14.5.10. Within five (5) business days, any funds in the Escrow Account,  
7           including any interest accrued, shall revert to FCA US and to Bosch pro  
8           rata to the amount of their respective deposits in the Escrow Account.

9           14.6. Notwithstanding the terms of Sections 14.5.1 through 14.5.10 above, if a Class  
10          Member has (1) received compensation under the Class Action Agreement prior to its termination  
11          or invalidation and (2) executed an Individual Release, such a Class Member and Defendants  
12          shall be bound by the terms of the Individual Release, which terms shall survive termination or  
13          invalidation of the Class Action Agreement.

14          **15. REPRESENTATIONS AND WARRANTIES**

15          15.1. Class Counsel represents that: (1) they are authorized by the Settlement Class  
16          Representatives to enter into this Class Action Agreement with respect to the claims asserted in  
17          the Action and any other claims covered by the Release; and (2) they are seeking to protect the  
18          interests of the Class.

19          15.2. Class Counsel further represents that the Settlement Class Representatives: (1)  
20          have agreed to serve as representatives of the Class proposed to be certified herein; (2) are  
21          willing, able, and ready to perform all of the duties and obligations of representatives of the  
22          Class; (3) have read the pleadings in the Action, including the Complaint, or have had the  
23          contents of such pleadings described to them; (4) have consulted with Class Counsel about the  
24          obligations imposed on representatives of the Class; (5) understand that they are entitled only to  
25          the rights and remedies of Class Members under this Class Action Agreement and not to any  
26          additional compensation by virtue of their status as Settlement Class Representatives, except that  
27          Class Counsel may seek reasonable and appropriate service awards for Settlement Class  
28          Representatives up to \$4,500 from FCA US and \$500 from Bosch, to be paid in addition to Class

1 benefits, subject to Court approval; and (6) shall remain and serve as representatives of the Class  
2 until the terms of this Class Action Agreement are effectuated, this Class Action Agreement is  
3 terminated in accordance with its terms, or the Court at any time determines that said Settlement  
4 Class Representatives cannot represent the Class. Defendants shall retain the right to object to the  
5 payment of any service awards, including the amount thereof (even an amount at or below the  
6 amount set forth above).

7 15.3. Fiat Chrysler represents and warrants that the individual(s) executing this Class  
8 Action Agreement are authorized to enter into this Class Action Agreement on behalf of Fiat  
9 Chrysler.

10 15.4. Bosch represents and warrants that the individual(s) executing this Class Action  
11 Agreement are authorized to enter into this Class Action Agreement on behalf of Bosch.

12 15.5. The Parties acknowledge and agree that no opinion concerning the tax  
13 consequences of the proposed Settlement to Class Members is given or will be given by the  
14 Parties, nor are any representations or warranties in this regard made by virtue of this Class  
15 Action Agreement. In addition, the Parties acknowledge and agree that no tax ruling from any  
16 governmental tax authority in relation to a Class Member's tax consequences will be requested by  
17 Defendants. The Parties further acknowledge and agree that nothing in this Agreement should be  
18 relied upon by any Class Member as the provision of tax advice. Each Class Member's tax  
19 consequences or liabilities, and the determination thereof, are the sole responsibility of the Class  
20 Member, and it is understood that each Class Member's federal, state, or foreign tax  
21 consequences or liabilities may vary depending on the particular circumstances of each individual  
22 Class Member. Class Members shall hold Defendants and their counsel harmless from any  
23 federal, state, or foreign tax assessments, interest, and/or penalties that result for any amounts  
24 paid or benefits provided under this Agreement, and Defendants shall not be liable for the  
25 payment of any additional amounts now or in the future for any amount related to a Class  
26 Member's tax consequences.

27 **16. GENERAL MATTERS AND RESERVATIONS**

28 16.1. This Class Action Agreement will be binding upon, and inure to the benefit of, the

1 successors, transferees, and assigns of Defendants, the Settlement Class Representatives, and  
2 Class Members.

3 16.2. The Parties agree and acknowledge that (a) no government or governmental entity  
4 is a party to the Action or to this Class Action Agreement; (b) each Party is entering into this  
5 Class Action Agreement of its own volition, and no Party is entering into this Class Action  
6 Agreement at the direction of a government or governmental entity, or otherwise compelled by a  
7 government or governmental entity to do so; and (c) this Class Action Agreement is for the  
8 purpose of restitution, compensation or/and remediation for harm or damage alleged in the  
9 Complaint.

10 16.3. Fiat Chrysler's obligation to implement the Repair Program described in this Class  
11 Action Agreement is and shall be contingent upon each of the following:

12 16.3.1. Entry by the Court of the Final Approval Order approving the Class  
13 Action Settlement;

14 16.3.2. The occurrence of the Effective Date; and

15 16.3.3. The satisfaction of any other conditions set forth in this Class Action  
16 Agreement.

17 16.4. The Parties and their counsel agree to keep the existence and contents of this Class  
18 Action Agreement confidential until the date on which the Motion for an Order Approving Notice  
19 is filed; provided, however, that this Section shall not prevent Defendants from disclosing such  
20 information, prior to the date on which the Motion for an Order Approving Notice is filed, to state  
21 and federal agencies, independent accountants, actuaries, advisors, financial analysts, insurers, or  
22 lawyers. The Parties and their counsel may also disclose the existence and contents of this Class  
23 Action Agreement to persons or entities (such as experts, courts, co-counsel, and/or  
24 administrators) to whom the Parties agree disclosure must be made in order to effectuate the  
25 terms and conditions of this Class Action Agreement.

26 16.5. Settlement Class Representatives and Class Counsel agree that confidential  
27 information made available to them solely through the settlement process was made available on  
28 the condition that it not be disclosed to third parties (other than experts or consultants retained by

1 Settlement Class Representatives in connection with the Action). Nevertheless, nothing  
2 contained herein shall prohibit Settlement Class Representatives from seeking certain confidential  
3 information pertinent to this Class Action Agreement through informal confirmatory discovery,  
4 even if not previously requested through formal discovery.

5 16.6. Information provided by Defendants, Defendants' counsel, and/or the Settlement  
6 Master to Settlement Class Representatives, Class Counsel, any individual Class Member,  
7 counsel for any individual Class Member, and/or administrators, pursuant to the negotiation and  
8 implementation of this Class Action Agreement, includes trade secrets and highly confidential  
9 and proprietary business information and shall be deemed "Highly Confidential" pursuant to the  
10 protective orders that have been or will be entered in the Action, and shall be subject to all of the  
11 provisions thereof. Any materials inadvertently produced shall, upon any Defendants' request, be  
12 promptly returned to the requesting Defendants' counsel, as appropriate, and there shall be no  
13 implied or express waiver of any privileges, rights and defenses.

14 16.7. This Class Action Agreement, complete with its exhibits and all documents filed  
15 with the Court, sets forth the entire agreement among the Parties with respect to its subject matter,  
16 and it may not be altered, amended, or modified except by written instrument executed by Class  
17 Counsel, Fiat Chrysler's Lead Counsel, and Bosch's Lead Counsel. The Parties expressly  
18 acknowledge that no other agreements, arrangements, or understandings regarding vehicles not  
19 expressed in this Class Action Agreement or the documents filed with the Court exist among or  
20 between them, and that in deciding to enter into this Class Action Agreement, they have relied  
21 solely upon their own judgment and knowledge. This Class Action Agreement and the  
22 accompanying documents filed with the Court supersede any prior agreements, understandings, or  
23 undertakings (written or oral) by and between the Parties regarding the subject matter of this  
24 Class Action Agreement.

25 16.8. This Class Action Agreement and any amendments thereto, and any dispute  
26 arising out of or related to this Class Action Agreement, shall be governed by and interpreted  
27 according to the Federal Rules of Civil Procedure and applicable jurisprudence relating thereto,  
28 and the laws of the State of California notwithstanding its conflict of law provisions.

1           16.9. Any disagreement and/or action to enforce this Class Action Agreement shall be  
2 commenced and maintained only in the United States District Court for the Northern District of  
3 California.

4           16.10. Whenever this Class Action Agreement requires or contemplates that one of the  
5 Parties shall or may give notice to the other, notice shall be provided by e-mail and/or next-day  
6 (excluding Saturdays, Sundays and Federal Holidays) express delivery service as follows:

7           If to Fiat Chrysler, then to:

8                     Robert J. Giuffra, Jr.  
9                     SULLIVAN & CROMWELL LLP  
10                    125 Broad Street  
11                    New York, New York 10004  
12                    Email: giuffrar@sullcrom.com

13           If to Bosch, then to:

14                    Matthew D. Slater.  
15                    CLEARY GOTTlieb STEEN & HAMILTON LLP  
16                    2112 Pennsylvania Ave., NW  
17                    Washington, DC 20037  
18                    Email: mslater@cgsh.com

19           If to the Class, then to:

20                    Elizabeth J. Cabraser  
21                    LIEFF CABRASER HEIMANN & BERNSTEIN, LLP  
22                    275 Battery Street, 29th Floor  
23                    San Francisco, CA 94111  
24                    Email: ecabraser@lchb.com

25           16.11. All time periods in this Class Action Agreement shall be computed in calendar  
26 days unless otherwise expressly provided. In computing any period of time in this Class Action  
27 Agreement or by order of the Court, the day of the act or event shall not be included. The last day  
28 of the period shall be included, unless it is a Saturday, a Sunday or a Federal Holiday, or, when  
the act to be done is the filing of a paper in court, a day on which the court is closed, in which  
case the period shall run until the end of the next day that is not one of the aforementioned days.  
As used in this Class Action Agreement, "Federal Holiday" includes holidays designated in Fed.  
R. Civ. P. 6(a) or by the Clerk of the United States District Court for the Northern District of  
California.

          16.12. The Parties reserve the right, subject to the Court's approval, to agree to any

1 reasonable extensions of time that might be necessary to carry out any of the provisions of this  
2 Class Action Agreement.

3 16.13. The Class, Settlement Class Representatives, Class Counsel, Fiat Chrysler, Fiat  
4 Chrysler's Lead Counsel, Bosch, and/or Bosch's Lead Counsel shall not be deemed to be the  
5 drafter of this Class Action Agreement or of any particular provision, nor shall they argue that  
6 any particular provision should be construed against its drafter. All Parties agree that this Class  
7 Action Agreement was drafted by counsel for the Parties during extensive arm's-length  
8 negotiations. No parol or other evidence may be offered to explain, construe, contradict, or  
9 clarify its terms, the intent of the Parties or their counsel, or the circumstances under which this  
10 Class Action Agreement was made or executed.

11 16.14. The Parties expressly acknowledge and agree that this Class Action Agreement  
12 and its exhibits, along with all related drafts, motions, pleadings, conversations, negotiations,  
13 related notes, and correspondence, constitute an offer of compromise and a compromise within  
14 the meaning of Federal Rule of Evidence 408 and any equivalent rule of evidence in any state or  
15 territory.

16 16.15. The Settlement Class Representatives expressly affirm that the allegations  
17 contained in the Complaint were made in good faith, but consider it desirable for the Action to be  
18 settled and dismissed as to the Eligible Vehicles only because of the substantial benefits that the  
19 Settlement will provide to Class Members.

20 16.16. The Parties agree that the Class Action Agreement was reached voluntarily after  
21 consultation with competent legal counsel.

22 16.17. Neither this Class Action Agreement nor the Repair Program, nor any act  
23 performed or document executed pursuant to or in furtherance of this Class Action Agreement or  
24 the Repair Program is or may be deemed to be or may be used or construed as an admission of, or  
25 evidence of, the validity of any of the Released Claims, or of any wrongdoing or liability of any  
26 Released Parties; or is or may be deemed to be or may be used or construed as an admission of, or  
27 evidence of, any fault or omission of any Released Parties in any civil, criminal, regulatory, or  
28 administrative proceeding in any court, administrative agency or other tribunal. Nor shall this

1 Class Action Agreement or the Repair Program be deemed an admission by any Party as to the  
2 merits of any claim or defense.

3 16.18. Nothing in this Class Action Agreement changes any rights the Class or any Class  
4 Member or third party may have to challenge or otherwise assert a claim against Fiat Chrysler  
5 arising from (1) a violation by Fiat Chrysler of the Clean Air Act, the California Health and  
6 Safety Code, or applicable regulations thereunder in connection with the certification of the  
7 Approved Emissions Modification; or (2) under the Extended Warranty.

8 16.19. Any of the Released Parties may file this Class Action Agreement and/or the Final  
9 Approval Order in any action that may be brought against it in order to support any defense or  
10 counterclaim, including without limitation those based on principles of *res judicata*, collateral  
11 estoppel, release, good faith settlement, judgment bar or reduction, or any other theory of claim  
12 preclusion or issue preclusion or similar defense or counterclaim.

13 16.20. The Parties, their successors and assigns, and their counsel undertake to implement  
14 the terms of this Class Action Agreement in good faith, and to use good faith in resolving any  
15 disputes that may arise in the implementation of the terms of this Class Action Agreement.

16 16.21. The waiver by one Party of any breach of this Class Action Agreement by another  
17 Party shall not be deemed a waiver of any prior or subsequent breach of this Class Action  
18 Agreement.

19 16.22. If one Party to this Class Action Agreement considers another Party to be in  
20 breach of its obligations under this Class Action Agreement, that Party must provide the  
21 breaching Party with written notice of the alleged breach and provide a reasonable opportunity to  
22 cure the breach before taking any action to enforce any rights under this Class Action Agreement.

23 16.23. The Parties, their successors and assigns, and their counsel agree to cooperate fully  
24 with one another in seeking Court approval of this Class Action Agreement and to use their best  
25 efforts to implement this Class Action Agreement and the proposed Repair Program.

26 16.24. This Class Action Agreement may be signed with an electronic or facsimile  
27 signature and in counterparts, each of which shall constitute a duplicate original.

28 16.25. In the event any one or more of the provisions contained in this Class Action

1 Agreement shall for any reason be held to be invalid, illegal, or unenforceable in any respect,  
2 such invalidity, illegality, or unenforceability shall not affect any other provision if Fiat  
3 Chrysler's Lead Counsel on behalf of Fiat Chrysler, Bosch's Lead Counsel on behalf of Bosch,  
4 and Class Counsel, on behalf of Settlement Class Representatives and Class Members, mutually  
5 agree in writing to proceed as if such invalid, illegal, or unenforceable provision had never been  
6 included in this Class Action Agreement. Any such agreement shall be reviewed and approved  
7 by the Court before it becomes effective.

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1 COUNSEL FOR PLAINTIFFS:  
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4 Date: January 18, 2019



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ELIZABETH J. CABRASER  
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275 Battery Street, 29th Floor  
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ecabraser@lchb.com

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COUNSEL FOR FCA US LLC, FIAT CHRYSLER AUTOMOBILES N.V., V.M. MOTORI S.P.A., and V.M. NORTH AMERICA, INC.:

Date: January 18, 2019



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COUNSEL FOR ROBERT BOSCH GMBH AND ROBERT BOSCH LLC:

Date: January 18, 2019

  
\_\_\_\_\_  
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### List of Exhibits

| <b>Ex. #</b> | <b>Title</b>                            |
|--------------|---|
| 1            | Settlement Benefits for Class Members   |
| 2            | Short Form Notice                       |
| 3            | Long Form Notice                        |
| 4            | Class Claims Program and Administration |
| 5            | Individual Release of Claims            |

# Exhibit 1

# Class Member Benefits

## CLASS MEMBER BENEFITS

1. **Introduction.** This Exhibit explains the benefits that Class Members are eligible to receive under the Class Action Settlement. The compensation pursuant to the Class Action Settlement is available only to Class Members who do not opt out of the Class and who participate in the Claims Program. All capitalized terms in this document have the meanings ascribed to them in the Class Action Agreement.
2. **Benefits for Eligible Owners.** Eligible Owners who participate in the Claims Program shall receive an Owner Payment, an Approved Emissions Modification, and an Extended Warranty. The Owner Payment is **\$3,075**, unless an Eligible Former Owner or Eligible Former Lessee timely files a valid Claim for that same vehicle, in which case, the Owner Payment is **\$2,460**.
3. **Benefits for Eligible Former Owners.** Eligible Former Owners who participate in the Claims Program shall receive a Former Owner Payment. The Former Owner Payment is **\$990**.
4. **Benefits for Eligible Lessees.** Eligible Lessees who participate in the Claims Program shall receive a Lessee Payment, an Approved Emissions Modification, and an Extended Warranty. The Lessee Payment is **\$990**.
5. **Benefits for Eligible Former Lessees.** Eligible Former Lessees who participate in the Claims Program shall receive a Lessee Payment. The Lessee Payment is **\$990**.
6. **Approved Emissions Modification.** As described in the Class Action Agreement and US-CA Consent Decree, an Approved Emissions Modification (“AEM”) is a change to the emissions software of an Eligible Vehicle proposed by Fiat Chrysler and approved by the EPA and CARB that ensures that an Eligible Vehicle is in compliance with Certified Exhaust Emissions Standards. Class Members who receive an Approved Emissions Modification also will receive an Approved Emissions Modification Disclosure, a clear and accurate written disclosure regarding the impacts of the Approved Emissions Modification on the vehicle. This AEM is *not* expected to change (i) any key vehicle attributes, such as reliability, durability, vehicle performance, drivability, engine noise or vibration, or other driving characteristics; (ii) the Diesel Exhaust Fluid tank refill interval; or (iii) average fuel economy.
7. **Extended Warranty and Related Benefits.** In addition to the Approved Emissions Modification and monetary compensation described above, an Eligible Vehicle that has received an Approved Emissions Modification shall receive an Extended Warranty that covers parts and labor for the components listed in Appendix A to this Exhibit. The effective period of the Extended Warranty (the “Extended Warranty Period”) shall be the greater of (i) 10 years from the date of initial sale or 120,000 actual miles on the vehicle odometer, whichever comes first; and (ii) 4 years or 48,000 miles, from date and mileage of installing the Approved Emissions Modification, whichever comes first. In the event that an Eligible Vehicle that has received the Approved Emissions Modification is re-sold, the remaining Extended Warranty Period, if any, is transferable to subsequent purchasers and shall continue through the date or mileage described herein for the benefit of such subsequent purchasers. The Extended Warranty also includes buyback and lease

termination remedies, as set forth in Paragraph 4.3.2(d) of the Class Action Agreement and Paragraph 45 of the US-CA Consent Decree.

8. **Summary of Benefits.** The table below summarizes the benefits described above and in the Class Action Agreement.

| Category  | Benefits   | Class Member Payment*   |
|---|--|---|
| <b>Eligible Owner</b><br>(acquired vehicle on or before<br>January 12, 2017)  | Owner Payment<br>+<br>Approved Emissions Modification<br>+<br>Extended Warranty  | <b>\$3,075</b>  |
| <b>Eligible Owner</b><br>(acquired vehicle after<br>January 12, 2017)<br><br>(does not apply to owners of<br>vehicles that were leased as of<br>January 10, 2019, who are<br>treated as Eligible Lessees, and<br>not Eligible Owners) | Owner Payment<br>+<br>Approved Emissions Modification<br>+<br>Extended Warranty  | <b>\$2,460</b><br>(if an Eligible Former Owner or<br>Eligible Former Lessee of the<br>vehicle also makes a valid claim<br>for benefits)<br><br><u>OR</u><br><b>\$3,075</b><br>(if no other Class Member makes<br>a valid claim for benefits related<br>to the same vehicle) |
| <b>Eligible Former Owner</b>  | Former Owner Payment   | <b>\$990</b>  |
| <b>Eligible Lessee</b>  | Lessee Payment<br>+<br>Approved Emissions Modification<br>+<br>Extended Warranty | <b>\$990</b>  |
| <b>Eligible Former Lessee</b>   | Lessee Payment   | <b>\$990</b>  |

\*Eligible Owners and Eligible Lessees who participate in the Claims Program must complete an Approved Emissions Modification to receive the Class Member Payment.

### Appendix A

Subject to standard limitations that must be identified to Eligible Owners and Eligible Lessees, which may set forth exclusions like accident, abuse, neglect, or installation of non-certified parts, and applicable existing warranty provisions that will remain in effect, the Extended Warranty shall cover the cost of all parts and labor needed to repair the items listed below.

- Catalysts
  - Diesel Oxidation Catalyst/Diesel Particulate Filter (DPF) Assembly
  - Selective Catalytic Reduction (SCR) Catalyst
- Diesel Exhaust Fluid (DEF) System
  - DEF Injector
  - DEF Injector Controller
  - DEF Storage Tank
  - DEF Delivery Lines
  - DEF Concentration Sensor (2016 Model Year Ram 1500 and Jeep Grand Cherokee only)
  - DEF Supply Module, Pump, & Temperature Sensor Unit
- Parts of the Fuel System
  - Low Pressure Fuel Pump Module & Level Unit
  - Fuel Injectors
  - Fuel Rail
  - High Pressure Fuel Line
  - High Pressure Injection Pump & Regulator Unit
- Parts of the Exhaust Gas Recirculation (EGR) System
  - EGR Cooler Tubes
  - EGR Cooler
  - EGR Valve
  - EGR Temperature Sensor
  - EGR Cooler bypass actuator

- Other Sensors That Are Covered
  - Oxygen Sensors
  - NOx Sensors
  - Intake Manifold Pressure Sensor
  - Engine Coolant Temperature Sensor
  - Fuel/Water Separator Sensor
  - Intake Swirl Actuator Sensor
  - Engine Camshaft Position Sensor
  - Engine Crankshaft Position Sensor
  - Particulate Matter Sensor
  - Mass Airflow Sensor
  - Boost Pressure Sensor
  - Crankcase Pressure Sensor
  - Exhaust Manifold Pressure Sensor
  - Throttle Valve
  - Exhaust Temperature Sensor
  - DPF Differential Pressure Sensor
- Engine Control Module (Computer)
- Transmission Control Module (Computer)/Valve Body & Solenoids
- Throttle Valve
- Cylinder Head Assembly, including Valves, Springs, Valve Spring Keepers, Valve Seats, Cam Bearing Caps, and Manifold Studs (Camshaft and other components of the assembly are not covered)
- Engine Thermostat/housing/gasket
- The turbocharger system including all related hoses and pipes, all sensors and actuators.

Additionally, the Extended Warranty shall cover the cost of any OBD Diagnostic Scan for malfunctions that trigger the OBD Malfunction Indicator Light (MIL), regardless of whether the malfunction is attributable to a part that is covered under the Extended Warranty.

# Exhibit 2

# Short Form Notice

**Official Court-Approved Legal Notice**

*Settlements with Ram and Jeep EcoDiesel Vehicle Owners/Lesseees, the Environmental Protection Agency, and the California Air Resources Board*

You are receiving this notice as an **owner, former owner, lessee, or former lessee** of one of the Fiat Chrysler vehicles listed below. You may be eligible for cash benefits under a class action settlement.

| Ram 1500<br>EcoDiesel    | Jeep Grand<br>Cherokee EcoDiesel |
|--------------------------|----------------------------------|
| Model Years<br>2014-2016 | Model Years<br>2014-2016         |

**Settlement Benefits****GET PAID CASH**

most owners get \$3,075; most lessees get \$990

+

**GET YOUR VEHICLE FIXED**

to comply with emissions standards

+

**RECEIVE A COMPREHENSIVE EXTENDED WARRANTY****How It Works****Your Rights, Next Steps, & Important Dates**

The Settlements collectively provide cash compensation, a vehicle repair, and a comprehensive extended warranty. If you are a current owner or current lessee, you must submit a claim and receive the repair to be eligible for compensation. You can have your vehicle repaired and receive the extended warranty prior to or after making a claim, but you will not be eligible for compensation until you submit your claim.

You may object or exclude yourself from the Class Action Settlement by **[OPT OUT DATE]**. If you object, you will still be a member of the Class (if you are otherwise eligible) and must submit a claim to receive cash compensation.

The Court will hold a hearing on **[FAIRNESS HEARING DATE]** and will decide whether to approve the Settlements on or after that date.

Fiat Chrysler will begin processing claims once the Court approves the Settlements. This notice is being sent to you prior to that date to give you time to decide whether to participate in the claims process. You will be notified again once you can start submitting claim forms and documentation. In the meantime, claim forms are available now at [www.EcoDieselSettlement.com](http://www.EcoDieselSettlement.com), and you can also sign up for e-mail updates at [www.EcoDieselSettlement.com](http://www.EcoDieselSettlement.com).

All current owners and current lessees must submit a valid claim before **[CLAIMS DEADLINE]** to participate. If you are a Former Owner or Former Lessee, you must make your valid claim by **[FORMER CLAIM DEADLINE]**.

If you stay in the Class Action Settlement, you are eligible to receive benefits and cash and cannot sue Fiat Chrysler or Bosch for the claims being resolved by the Settlement.

Attorneys representing the Class will request Court approval for [\$\_\_] in attorneys' fees and [\$\_\_] in costs. Any such fees and costs awarded by the Court will be paid separately by Fiat Chrysler and Bosch and will not reduce your compensation.

Visit [www.EcoDieselSettlement.com](http://www.EcoDieselSettlement.com) for more details on the Class Action Settlement, to register, and to review your rights and options.

**Official Court-Approved Legal Notice**



# **Jeep and Ram EcoDiesel Emissions Settlements**

# Exhibit 3

# Long Form Notice

**Jeep Grand Cherokee EcoDiesel and Ram 1500 EcoDiesel Settlements**

*A federal court approved this Notice. This is not a solicitation from a lawyer.*

**You are receiving this notice as an owner, former owner, lessee, or former lessee of one of the vehicles listed below. You may be eligible for cash benefits under a class action settlement.**

| Ram 1500 EcoDiesel       | Jeep Grand Cherokee EcoDiesel |
|--------------------------|-------------------------------|
| Model Years<br>2014-2016 | Model Years<br>2014-2016      |

## Settlement Benefits

### **GET PAID CASH**

most owners get \$3,075; most lessees get \$990

+

### **GET YOUR VEHICLE FIXED**

to comply with emissions standards

+

### **RECEIVE A COMPREHENSIVE EXTENDED WARRANTY**

Fiat Chrysler (Fiat Chrysler Automobiles N.V., FCA US LLC, VM Motori, S.p.A., and VM North America, Inc.) has reached settlements with consumers and federal and state regulators (collectively, the “Settlements”) in which it has agreed to modify the emission control system software in certain Ram 1500 and Jeep Grand Cherokee vehicles equipped with a 3.0-liter V6 diesel engine and to make monetary payments to certain affected consumers. The consumer class action settlement also involves Bosch (Robert Bosch GmbH and Robert Bosch LLC). Fiat Chrysler and Bosch are referred to collectively as “Defendants.”

The following vehicle make and model years are included in the Settlements, subject to the exclusions contained in this Notice and in the Settlements, and are referred to throughout this Notice and in the Class Action Agreement as the “Subject Vehicles.” Not all Subject Vehicles are eligible to receive compensation under the Class Action Agreement. Those that are eligible for compensation under the Class Action Agreement are referred to as the “Eligible Vehicles.”

| MODEL                         | MODEL YEARS |
|-------------------------------|-------------|
| Ram 1500 EcoDiesel            | 2014 - 2016 |
| Jeep Grand Cherokee EcoDiesel | 2014 - 2016 |

The Settlements consist of:

- A Class Action Agreement (or “Agreement”) between Defendants and certain current owners/lessees and former owners/lessees of Eligible Vehicles (collectively, “Class Members”); and
- A “Consent Decree” between Fiat Chrysler and the United States Department of Justice (the “DOJ”) on behalf of the Environmental Protection Agency (“EPA”) and the State of California by and through the California Attorney General and the California Air Resources Board (“CARB”).

This Notice summarizes the terms of the Class Action Agreement and answers potential questions Class Members and other owners and lessees of Subject Vehicles may have about their eligibility and the terms of the Agreement.

### **Summary of Benefits for Class Members**

The Agreement accomplishes three main objectives: (1) it provides cash compensation to Class Members; (2) it updates the software in the Eligible Vehicles' emission control systems; and (3) it gives Class Members a robust extended warranty.

**Class Member Compensation.** The first objective is achieved through cash payments to Class Members. Those cash payments are as follows:

|                          | <b>Eligible Owners</b><br>(If no former owner or former lessee timely completes a valid claim for the same vehicle) | <b>Eligible Owners</b><br>(If a former owner or former lessee timely completes a valid claim for the same vehicle) | <b>Eligible Lessees, Former Owners, and Former Lessees</b> |
|--------------------------|---|--|--|
| <b>Cash Compensation</b> | <b>\$3,075</b>  | <b>\$2,460</b>   | <b>\$990</b>   |

The estimated maximum aggregate value of the monetary component of this Class Action Agreement is \$307,460,800, if every Class Member participates in the Class Action Agreement by filing a timely and valid Claim.

**Vehicle Repair.** The second objective is achieved through an emissions modification approved by the EPA and CARB that is intended to ensure that the Subject Vehicles' emissions are in compliance with the emissions standards to which they were originally certified, without reducing advertised performance or average fuel economy (see Question 16 for further details). Through the Settlements, Fiat Chrysler will offer this "Approved Emissions Modification" or "AEM" free of charge to all model year 2014 to 2016 Ram 1500 EcoDiesel and Jeep Grand Cherokee EcoDiesel vehicles, including Eligible Vehicles. In addition, under the Consent Decree, Fiat Chrysler will pay \$305 million as a penalty under the Clean Air Act and the California Health and Safety Code.

**Extended Warranty.** The third objective is achieved through an Extended Warranty offered for all Subject Vehicles that receive the Approved Emissions Modification. The Extended Warranty covers all parts and systems affected by the Approved Emissions Modification, and the warranty term is the greater of (i) 10 years from the date of initial sale or 120,000 actual miles on the vehicle odometer, whichever comes first; and (ii) 4 years or 48,000 miles from the date and mileage of installing the Approved Emissions Modification on the vehicle, whichever comes first. Fiat Chrysler shall not impose on consumers any fees or charges (and must pay any fees or charges imposed on consumers by any Dealer in accordance with the applicable franchise agreements with such Dealers) related to the warranty service. The estimated per-vehicle cost to Fiat Chrysler of the warranty components of this Class Action Agreement is approximately \$1,050.

**Subject to the limitations on non-operable vehicles and vehicles that have modified emissions systems, as discussed in Questions 14 and 15 below, all current owners and current lessees of Subject Vehicles will be able to obtain the AEM and Extended Warranty regardless of whether they are Class Members, but only Class Members are eligible for monetary compensation.**

**Obtaining Information About the Settlements and their Benefits**

This Notice is a summary of the Class Action Agreement and its benefits. The full details of the Settlements are available online at the Settlement Website ([www.EcoDieselSettlement.com](http://www.EcoDieselSettlement.com)). The Settlement Website also contains additional information about settlement benefits and eligibility.

**Attorneys' Fees**

The attorneys that the Court appointed to represent the consumer plaintiffs in this litigation are referred to as "Class Counsel." Class Counsel will request Defendants pay [\$ ] in attorneys' fees and [\$ ] in costs separate from and in addition to the benefits the Settlements provide Class Members. Any fees and costs paid to Class Counsel will not reduce Class Members' compensation, and must be approved by the Court.

**WHAT THIS NOTICE CONTAINS**

**CLASS MEMBERSHIP ..... 6**

1. What is this litigation about? ..... 6

2. Am I covered by the Class Action Agreement? ..... 6

3. Who qualifies for the Approved Emissions Modification and Extended Warranty? ..... 7

4. Who qualifies for payment benefits? And what will they receive? ..... 7

5. I sold my vehicle. Am I a Class Member? What are my benefits? ..... 9

6. I no longer lease my vehicle. Am I a Class Member? ..... 9

7. I bought my vehicle after January 12, 2017. Am I a Class Member? ..... 10

8. Am I covered by the Class Action Agreement if I leased and then purchased the vehicle that I now own? ..... 10

**ECODIESEL SETTLEMENT BENEFITS..... 11**

9. What benefits will be available for Subject Vehicles? ..... 11

10. What are my benefits if I leased and then purchased my vehicle? ..... 11

11. Can I receive benefits if my vehicle is totaled? ..... 11

12. When do I need to have the repair done? ..... 12

**REPAIRING YOUR VEHICLE’S EMISSIONS SYSTEM..... 13**

13. How and when will the emissions systems from Subject Vehicles be repaired? ..... 13

14. What if my vehicle is not operable or if I have altered or modified its emission control system? ..... 13

15. How will the Approved Emissions Modification affect my vehicle? ..... 13

16. What is included in the Approved Emissions Modification Extended Warranty? ..... 14

**HOW TO GET BENEFITS – FILING CLAIMS FOR ELIGIBLE VEHICLES ..... 16**

17. How do I claim Class Action Agreement benefits? ..... 16

18. When can I make a claim? ..... 16

19. What is the deadline to make a claim? ..... 16

20. What supporting documents do I need to make a claim? ..... 17

21. When and how will I receive my payment? ..... 17

**UNDERSTANDING THE CLASS ACTION PROCESS..... 18**

22. Why did I receive Notice of the Settlements? ..... 18

23. What is a class action? ..... 18

24. What am I giving up in exchange for receiving the Class Action Agreement benefits? ..... 18

25. Am I releasing any personal injury or wrongful death claims if I participate in the Class Action Agreement? ..... 18

26. How do I get out of the Class Action Agreement? ..... 18

27. If I stay in this Class Action Agreement, can I sue these Defendants for the same thing later? ..... 19

28. If I exclude myself, can I still get full benefits from the Class Action Agreement? ..... 19

29. Do I have a lawyer in the case? ..... 19

30. How will the lawyers be paid? And how much? ..... 20

31. How do I tell the Court if I do not like the Class Action Agreement? ..... 20

32. What is the difference between objecting to the Class Action Agreement and opting out? ..... 21

33. When and where will the Court decide whether to approve the Class Action Agreement? ..... 21

34. Do I have to attend the hearing? ..... 22

35. May I speak at the hearing?..... 22  
36. How do I get more information? ..... 22

## CLASS MEMBERSHIP

### 1. What is this litigation about?

On January 12, 2017, the EPA and CARB issued notices of violation to Fiat Chrysler Automobiles N.V. and FCA US LLC alleging that certain Ram and Jeep vehicles with 3.0-liter V6 diesel engines in the United States were equipped with eight Auxiliary Emissions Control Devices (“AECs”) that were not disclosed to the EPA, and that the operation of one or more of the AECs alone or in combination resulted in excess emissions of nitrogen oxides (“NOx”). January 12, 2017 is sometimes referred to in this Notice as the “Notice of Violation date” or “NOV date.”

Attorneys representing owners and lessees of these EcoDiesel vehicles, including certain automobile dealers not affiliated with Fiat Chrysler, filed class action lawsuits against Fiat Chrysler Automobiles N.V., FCA US LLC, VM Motori, S.p.A., VM North America, Inc., Robert Bosch GmbH, and Robert Bosch LLC, who are referred to as the “Defendants.” The people who sued are called the “Plaintiffs.”

Plaintiffs allege that the Subject Vehicles were equipped with AECs that caused the vehicles to emit significantly more pollutants than consumers reasonably expected, and more pollutants than were permitted under federal and state clean air laws. Plaintiffs further assert that the Defendants intentionally misled consumers about the qualities and characteristics of the Subject Vehicles.

In addition to the class action lawsuits, the DOJ filed suit on behalf of the EPA and the State of California filed suit by and through the California Attorney General and CARB. The lawsuits filed by the DOJ/EPA and California assert that Fiat Chrysler violated the Clean Air Act and the California Health and Safety Code.

The case is before Judge Edward Chen of the United States District Court for the Northern District of California (the “Court”). The case is known as *In Re Chrysler-Dodge-Jeep EcoDiesel Marketing, Sales Practices, and Products Liability Litigation*, No. 3:17-md-2777.

### 2. Am I covered by the Class Action Agreement?

You are covered by the Class Action Agreement if you are a member of the Class. Except for the exclusions mentioned below, the Class is composed of all persons (including individuals and entities) who:

- On January 12, 2017, owned or leased an eligible model year 2014, 2015, or 2016 Ram 1500 or Jeep Grand Cherokee 3.0-liter diesel vehicle Registered (as defined in Paragraph 2.60 of the Class Action Agreement) in the United States or its territories (an “Eligible Vehicle,” defined more fully in Section 2.35 of the Class Action Agreement); or
- between January 12, 2017 and the deadline to submit claims become the owner or lessee of an Eligible Vehicle in the United States or its territories; or
- own or lease an Eligible Vehicle in the United States or its territories at the time it receives the Approved Emissions Modification.

The Class does not include Fiat Chrysler Authorized Dealers but does include automobile dealers who are not Fiat Chrysler Authorized Dealers and otherwise meet the definition of the Class.

The following persons (including entities and individuals) are **excluded** from the Class:

- Owners or lessees who acquired an Eligible Vehicle after January 12, 2017 (NOV date) and transferred ownership or terminated their lease before [the Opt-Out Deadline];

- Owners or lessees who acquired an Eligible Vehicle after January 12, 2017 and transferred ownership or terminated their lease after [the Opt-Out Deadline] as a result of a total loss, but before the deadline for Eligible Owners and Eligible Lessees to submit a claim;
- Owners who acquired an Eligible Vehicle on or before January 12, 2017 and transferred ownership after January 10, 2019 (the date the settlement was announced) but before [the Opt-Out Deadline], unless ownership was transferred as a result of a total loss;
- Lessees who leased their Eligible Vehicles on or before January 12, 2017, acquire ownership after January 10, 2019, and transfer ownership before the AEM is performed on the Eligible Vehicle;
- Owners whose Eligible Vehicle is not Registered (as defined in Paragraph 2.60 of the Class Action Agreement) in the United States as of the date the AEM is performed;
- Defendants' officers, directors and employees; Defendants' affiliates and affiliates' officers, directors and employees; their distributors and distributors' officers, directors and employees; and Fiat Chrysler's Authorized Dealers and their officers and directors;
- Judicial officers and their immediate family members and associated court staff assigned to this case; and
- All those otherwise in the Class who or which timely and properly exclude themselves from the Class.

If, after reading this Notice, you are not sure whether you are included in one of the Settlements, you may visit the Settlement Website ([www.EcoDieselSettlement.com](http://www.EcoDieselSettlement.com)) or call 1-833-280-4748. You may also write with questions via e-mail to [e-mail address for questions – to be updated upon preliminary approval of settlement] or regular mail to [mailing address for questions – to be updated upon preliminary approval of settlement].

### 3. Who qualifies for the Approved Emissions Modification and Extended Warranty?

All current owners and current lessees of Subject Vehicles will be able to obtain the AEM at a Fiat Chrysler Authorized Dealer or, in certain areas, through a Fiat Chrysler service provider, regardless of whether they are Class Members. The specific repairs that will be performed are generally described in Paragraph 23 of the Consent Decree, which is available on the Settlement Website ([www.EcoDieselSettlement.com](http://www.EcoDieselSettlement.com)). All vehicles that receive the AEM will also be entitled to the Extended Warranty.

**Subject to the limitations on non-operable vehicles and vehicles that have modified emissions systems, as discussed in Questions 14 and 15 below, all current owners and current lessees of Subject Vehicles will be able to obtain the AEM and Extended Warranty regardless of whether they are Class Members, but only Class Members are eligible for monetary compensation.**

### 4. Who qualifies for payment benefits? And what will they receive?

Several broad categories of Class Members qualify for payment benefits: Eligible Owners, Eligible Lessees, Eligible Former Owners, and Eligible Former Lessees. The Class Action Agreement sets forth all eligibility criteria and compensation details, but the table below provides an overview of the Class Member categories and the benefits they are eligible to receive.

| Category   | Definition  | Benefits   | Class Member Payment <sup>†</sup>  |
|--|---|--|--|
| <b>Eligible Owner</b><br>(acquired vehicle on or before January 12, 2017)  | Owned an Eligible Vehicle on January 12, 2017 (NOV date), and owns that Vehicle at the time of the AEM  | Owner Payment<br>+<br>Approved Emissions Modification<br>+<br>Extended Warranty  | <b>\$3,075</b>   |
| <b>Eligible Owner</b><br>(acquired vehicle after January 12, 2017)<br><br>(does not apply to owners of vehicles that were leased as of January 10, 2019, who are treated as Eligible Lessees, and not Eligible Owners) | Owns an Eligible Vehicle at the time of the AEM, but did not own that Eligible Vehicle on January 12, 2017 (NOV date)   | Owner Payment<br>+<br>Approved Emissions Modification<br>+<br>Extended Warranty  | <b>\$2,460</b><br>(if an Eligible Former Owner or Eligible Former Lessee of the vehicle also makes a valid claim for benefits)<br><br><u>OR</u><br><br><b>\$3,075</b><br>(if no other Class Member makes a valid claim for benefits related to the same vehicle) |
| <b>Eligible Former Owner*</b>  | Owned an Eligible Vehicle on January 12, 2017 (NOV date), and sold the vehicle on or before January 10, 2019 (date of settlement announcement)  | Former Owner Payment   | <b>\$990</b>   |
| <b>Eligible Lessee</b>   | Leases an Eligible Vehicle at the time of the AEM, or purchased an Eligible Vehicle that was leased on January 10, 2019 (date of settlement announcement), and owns the Eligible Vehicle at the time of the AEM | Lessee Payment<br>+<br>Approved Emissions Modification<br>+<br>Extended Warranty | <b>\$990</b>   |
| <b>Eligible Former Lessee*</b>   | Leased an Eligible Vehicle on January 12, 2017 (NOV date), and surrendered the vehicle before the vehicle receives the AEM.   | Lessee Payment   | <b>\$990</b>   |

<sup>†</sup> Eligible Owners and Eligible Lessees who participate in the Claims Program must complete an Approved Emissions Modification to receive the Class Member Payment.

\* For additional details relating to totaled vehicles, see Question 11.

**5. I sold my vehicle. Am I a Class Member? What are my benefits?**

**Class Members who wish to claim benefits under the Class Action Agreement and have not already sold or otherwise transferred ownership of their vehicles should retain their vehicles, submit a claim, and have the AEM performed on their vehicles.**

If you owned an Eligible Vehicle on January 12, 2017 (NOV date), and you sold your vehicle before January 10, 2019 (date of settlement announcement), including transferring it to an insurance company or otherwise selling to a junkyard or salvage dealer following a total loss (i.e., a “totaled” vehicle), you are a Class Member. You **may be eligible** to receive benefits as an Eligible Former Owner.

**TO OBTAIN SETTLEMENT BENEFITS, Eligible Former Owners MUST submit a complete and valid claim within 90 days after the Court’s final approval of the Settlements to be eligible for compensation. Under the proposed schedule, this would mean a deadline of July 29, 2019, although the deadline may change depending on when the Court finally approves the Settlements. Please check the Settlement Website ([www.EcoDieselSettlement.com](http://www.EcoDieselSettlement.com)) regularly for updates regarding this deadline. See Questions 18-21 for details on how to submit a claim. Eligible Former Owners who miss the 90-day claim deadline will not receive any settlement compensation.**

If you sold or otherwise transferred title of your Eligible Vehicle after January 10, 2019, but before **[the Opt-Out Deadline]**, you are not a Class Member, this Agreement does not cover or release any potential claims you might have against the Defendants, and you will **not** receive any compensation under the Class Action Agreement.

If you sold or otherwise transferred title of your Eligible Vehicle after **[the Opt-Out Deadline]**, but before the vehicle receives the AEM, you **are** a Class Member but you will **not** receive any compensation under the Class Action Agreement. To avoid this situation, you should keep your vehicle, submit a claim and then schedule and complete an AEM appointment as soon as possible.

If, because of a total loss, you transferred title to your Eligible Vehicle to an insurance company or an equivalent after January 10, 2019, and prior to receiving the AEM, please refer to Question 11.

**6. I no longer lease my vehicle. Am I a Class Member?**

If you leased an Eligible Vehicle as of January 12, 2017 (NOV date), and your lease terminated before the AEM is performed, you are a Class Member known as an Eligible Former Lessee.

If you are leasing an Eligible Vehicle when the AEM is performed, you are a Class Member known as an Eligible Lessee.

Lessees will be entitled to the same compensation under the Class Action Agreement regardless of whether they are classified as Eligible Lessees or Eligible Former Lessees.

If you previously leased an Eligible Vehicle and then purchased it, please see Question 8.

**TO OBTAIN SETTLEMENT BENEFITS, Eligible Former Lessees MUST submit a complete and valid claim within 90 days after the Court’s final approval of the Settlements to be eligible for compensation. Under the proposed schedule, this would mean a deadline of July 29, 2019, although the deadline may change depending on when the Court finally approves the Settlements. Eligible Former Lessees who miss the 90-day claim deadline will not receive any settlement compensation.**

**Please check the Settlement Website ([www.EcoDieselSettlement.com](http://www.EcoDieselSettlement.com)) regularly for updates regarding this deadline. See Questions 18-21 for details on how to submit a claim.**

**7. I bought my vehicle after January 12, 2017. Am I a Class Member?**

If you acquired an Eligible Vehicle after January 12, 2017 (NOV date), and continue to own the vehicle as of the date it receives the AEM, you are a Class Member known as an Eligible Owner. However, if you own an Eligible Vehicle that was leased, either by you or another party, as of January 10, 2019 (date of settlement announcement), and own the vehicle when the AEM is performed, you are eligible for a Lessee Payment, not an Owner Payment.

If you acquired an Eligible Vehicle after January 12, 2017 and sold or otherwise transferred ownership of that vehicle after January 10, 2019, but *before* [the Opt-Out Deadline], you are not a Class Member and you will **not** receive any benefits under the Class Action Agreement.

If you acquired an Eligible Vehicle after January 12, 2017, and, because of a total loss, transferred title of the vehicle to an insurance company or equivalent after [the Opt-Out Deadline], you are not a Class Member and you will **not** receive any benefits under the Class Action Agreement.

**If you acquired an Eligible Vehicle after January 12, 2017, and sold or otherwise transferred title of that vehicle after [the Opt-Out Deadline], but before the vehicle receives the AEM, you are a Class Member but you will **not** receive any benefits under the Class Action Agreement. To avoid this situation, you should keep your vehicle, submit a claim and then schedule and complete an AEM appointment as soon as possible.**

If you purchase or lease an Eligible Vehicle on or after [the Opt-Out Deadline] but before the last day to submit a claim (see Question 20), you have the right to exclude yourself from (opt out of) the class on or before the last day to submit a claim, or within 60 days of purchase/lease, whichever is later. (See Paragraph 6.1 of the Class Action Agreement for additional details.) For more information regarding your right to exclude yourself from the Class Action Agreement, see Question 27.

**8. Am I covered by the Class Action Agreement if I leased and then purchased the vehicle that I now own?**

Yes, you are a member of the Class. The benefits you will receive depend on when you purchased your vehicle. If you purchased your vehicle before January 10, 2019, you are an Eligible Owner. If you purchased your previously-leased vehicle on or after January 10, 2019, you are an Eligible Lessee. **However, if you are an Eligible Lessee, and if you purchase and then subsequently sell the Eligible Vehicle after January 10, 2019, and before it receives the AEM, you will **not** receive any benefits under the Class Action Agreement.**

## ECODIESEL SETTLEMENT BENEFITS

### 9. What benefits will be available for Subject Vehicles?

Fiat Chrysler has developed, and the EPA and CARB have approved, a change to the emissions software referred to as the Approved Emissions Modification (“AEM”) that ensures compliance with the emissions standards to which the vehicles were originally certified without reducing advertised performance or average fuel economy. Owners and lessees who still own or lease their vehicles will be entitled to receive the AEM free of charge and an Extended Warranty. In addition to an Approved Emissions Modification, the Class Action Agreement provides for monetary compensation to Class Members that receive an AEM, as described in Question 4.

### 10. What are my benefits if I leased and then purchased my vehicle?

If you leased your vehicle and then purchased it, your benefits depend on when you bought your vehicle and on whether and when you sold it.

- If you purchased your Eligible Vehicle **after** January 10, 2019 (date of settlement announcement), and still own the vehicle, you are considered an Eligible Lessee. If you continue to own the vehicle when the Approved Emissions Modification is performed you are entitled to a Lessee Payment and an Extended Warranty. If you leased the vehicle as of January 12, 2017, and surrender it at the conclusion of your lease before the Approved Emissions Modification is performed, you are entitled to a Lessee Payment as an Eligible Former Lessee.
- If you purchased your Eligible Vehicle from a lease on or **before** January 10, 2019, you are considered an Eligible Owner. If you continue to own the vehicle when the Approved Emissions Modification is performed, you are entitled to an Owner Payment and an Extended Warranty. If you leased the vehicle as of January 12, 2017, and purchased and then sold or otherwise transferred title of the vehicle before January 10, 2019, you are entitled to a Former Owner Payment.

### 11. Can I receive benefits if my vehicle is totaled?

If your Eligible Vehicle is or was declared a total loss (“totaled”) and transferred to an insurance company (or otherwise sold to a junkyard, salvage dealer, or the equivalent), your eligibility for benefits under the Class Action Agreement depends on when title of the totaled vehicle is transferred.

If you owned an Eligible Vehicle on or before January 12, 2017, but that vehicle was totaled and transferred to an insurance company (or otherwise sold to a junkyard, salvage dealer, or the equivalent) before the AEM is performed, you can still receive compensation as an Eligible Former Owner (a Former Owner Payment). However, you must submit a complete claim by the applicable deadline (see Questions 5 and 19).

If you acquired an Eligible Vehicle after January 12, 2017, but that vehicle was totaled and transferred to an insurance company (or otherwise sold to a junkyard, salvage dealer, or the equivalent) before the AEM is performed on the vehicle, you are **not** eligible to receive compensation under the Class Action Agreement.

If you leased an Eligible Vehicle on or before January 12, 2017, but that vehicle was totaled and surrendered under the terms of the lease before the AEM is performed, you can still receive compensation as an Eligible Former Lessee. However, you must submit a complete claim by the applicable deadline (see Questions 6 and 20).

If your lease began after January 12, 2017, and your vehicle was totaled and surrendered under the terms of the lease before the AEM is performed, you are **not** eligible to receive compensation under the Class Action Agreement.

**12. When do I need to have the repair done?**

If you own or lease an Eligible Vehicle, to receive compensation under the Class Action Agreement, you must submit a complete and valid claim by **21 months after the Court's orders granting final approval of the Settlements and complete your AEM by 24 months after the Court's orders granting final approval of the Settlements**. You can continue to drive your vehicle without an Approved Emissions Modification until you schedule an Approved Emissions Modification appointment, but you will not receive payment or an Extended Warranty until the Approved Emissions Modification is performed (unless the vehicle is declared a total loss and transferred to an insurance company or equivalent, and you timely file a complete and valid Claim—see Question 11).

**Please note that Eligible Former Owners and Eligible Former Lessees must submit a complete and valid claim within 90 days after final approval of the Class Action Agreement. Under the proposed schedule, this would mean a deadline of July 29, 2019, although the deadline may change depending on when the Court finally approves the Settlements.**

**Eligible Former Owners and Eligible Former Lessees who do not submit a claim before the 90-day deadline will not receive compensation under the Class Action Agreement.**

**Please check the Settlement Website ([www.EcoDieselSettlement.com](http://www.EcoDieselSettlement.com)) regularly for updates regarding this deadline.**

## REPAIRING YOUR VEHICLE'S EMISSIONS SYSTEM

### 13. How and when will the emissions systems from Subject Vehicles be repaired?

The Approved Emissions Modification will be available 15 days after the Court grants final approval of the Settlements. The earliest date the AEM will be available is May 14, 2019. All owners or lessees of Subject Vehicles, including Class Members, will be able to obtain the repair at a Fiat Chrysler Authorized Dealer or, in certain areas, through a Fiat Chrysler service provider. Eligible Class Members will receive the associated Class Member Payment described in Question 4. The specific repairs that will be performed are generally described in Paragraph 23 of the Consent Decree, which is available on the Settlement Website ([www.EcoDieselSettlement.com](http://www.EcoDieselSettlement.com)).

Fiat Chrysler will provide a loaner vehicle at no cost to the Eligible Owner/Lessee for any Approved Emissions Modification that is scheduled to take longer than three hours or that is not complete within three hours of the scheduled start of the appointment. Class Members who receive a loaner vehicle under this provision will have 24 hours to return the vehicle from the time Fiat Chrysler notifies them that the AEM is complete.

### 14. What if I altered my vehicle's emission control system?

If you altered the emission control system in your vehicle, you may still be eligible to receive the AEM and may still be a Class Member eligible for compensation under the Class Action Agreement. However, if the AEM cannot be installed on your vehicle because of alterations to the emission control system or if the alterations are likely to substantially affect the operation of your vehicle with the AEM installed, you may not be eligible for the AEM or compensation under the Class Action Agreement unless you first reverse the alterations, at your own expense (see Paragraph 38.e of the Consent Decree and Paragraph 2.52 of the Class Action Agreement for additional details).

### 15. What if my vehicle is not operable?

A vehicle must be operable to be considered an Eligible Vehicle. That means the vehicle must be able to be driven under its own engine power and is in reasonable condition such that it can be driven lawfully and safely on public roads (see Paragraph 2.52 of the Class Action Agreement for additional details). If your vehicle is not operable, you must restore it to an operable condition at your own expense for it to be considered an Eligible Vehicle.

### 16. How will the Approved Emissions Modification affect my vehicle?

The Approved Emissions Modification is intended to ensure that the Subject Vehicles are in compliance with the exhaust emissions standards to which they were originally certified.

Nature of the Approved Emissions Modification. The Approved Emissions Modification (AEM) consists of a software reflash that modifies the calibrations in your vehicle's Engine Control Unit (ECU) and Transmission Control Unit (TCU). There are no hardware changes to your vehicle associated with this AEM. However, your authorized Ram or Jeep dealership may make certain hardware changes to your vehicle or may modify your vehicle in accordance with open recall notices at the same time the AEM is installed. You should ask your authorized dealer about these changes to your vehicle, as they are not part of the AEM described in this document.

Key Vehicle Attributes. This AEM is not expected to change any of your key vehicle attributes, such as reliability, durability, vehicle performance, drivability, engine noise or vibration, or other driving characteristics.

DEF Consumption. The AEM is not expected to change your Diesel Exhaust Fluid (DEF) tank refill interval. If your previous refill rate coincided with your oil change interval, that should not change with this software update. However, you may notice that under certain conditions your vehicle may use slightly more DEF as compared to prior usage.

Fuel Economy. Average fuel economy is not expected to change as a result of this AEM. The AEM may, under sustained low speed driving (e.g., under 21 mph) with frequent stops, decrease your fuel economy or, under sustained high speed driving conditions, increase or decrease your fuel economy. As with all vehicles, however, several factors can affect your actual fuel economy such as: how and where you drive, vehicle condition, maintenance and age, fuel variations, and vehicle variations.

This information will be made available online through the Settlement Website ([www.EcoDieselSettlement.com](http://www.EcoDieselSettlement.com)). Online access will be provided for a minimum of ten years after the Consent Decree is entered.

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| <p><b>17. What is included in the Approved Emissions Modification Extended Warranty?</b></p> |
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The “Approved Emissions Modification Extended Warranty” is a warranty that will apply to all vehicles that receive an Approved Emissions Modification. It will cover the cost of all parts and labor needed to repair the items listed in Appendix E of the Consent Decree, which are also listed here:

- Catalysts: Diesel Oxidation Catalyst / Diesel Particulate Filter (DPF) Assembly; Selective Catalytic Reduction (SCR) Catalyst
- Diesel Exhaust Fluid (DEF) System: DEF Injector; DEF Injector Controller; DEF Storage Tank; DEF Delivery Lines; DEF Concentration Sensor (2016 Model Year Ram 1500 and Jeep Grand Cherokee only); DEF Supply Module, Pump, & Temperature Sensor Unit
- Parts of the Fuel System: Low Pressure Fuel Pump Module & Level Unit; Fuel Injectors; Fuel Rail; High Pressure Fuel Line; High Pressure Injection Pump & Regulator Unit
- Parts of the Exhaust Gas Recirculation (EGR) System: EGR Cooler Tubes; EGR Cooler; EGR Valve; EGR Temperature Sensor; EGR Cooler bypass actuator
- Other Sensors That Are Covered: Oxygen Sensors; NOx Sensors; Intake Manifold Pressure Sensor; Engine Coolant Temperature Sensor; Fuel/Water Separator Sensor; Intake Swirl Actuator Sensor; Engine Camshaft Position Sensor; Engine Crankshaft Position Sensor; Particulate Matter Sensor; Mass Airflow Sensor; Boost Pressure Sensor; Crankcase Pressure Sensor; Exhaust Manifold Pressure Sensor; Throttle Valve; Exhaust Temperature Sensor; DPF Differential Pressure Sensor
- Engine Control Module (Computer)
- Transmission Control Module (Computer/Valve Body & Solenoids)
- Throttle Valve
- Cylinder Head Assembly, including Valves, Springs, Valve Spring Keepers, Valve Seats, Cam Bearing Caps, and Manifold Studs (Camshaft and other components of the assembly are not covered)
- Engine Thermostat/housing/gasket

- The turbocharger system including all related hoses and pipes, all sensors and actuators
- Additionally, the Extended Warranty shall cover the cost of any OBD Diagnostic Scan for malfunctions that trigger the OBD Malfunction Indicator Light (MIL), regardless of whether the malfunction is attributable to a part that is covered under the Extended Warranty.

The Approved Emissions Modification Extended Warranty will extend to all parts and labor related to the covered components.

The Approved Emissions Modification Extended Warranty will not void any outstanding warranty. If there is a conflict between the Extended Warranty and any outstanding warranty, that conflict will be resolved to your benefit. The warranty term is the greater of (i) 10 years from the date of initial sale or 120,000 actual miles on the vehicle odometer, whichever comes first; and (ii) 4 years or 48,000 miles from the date and mileage of installing the Approved Emissions Modification on the vehicle, whichever comes first. In no event shall the Extended Warranty apply to or provide any coverage for Eligible Vehicles after May 1, 2029. In the event that an Eligible Vehicle that has received the Approved Emissions Modification is resold, the remaining Extended Warranty Period, if any, is transferable to subsequent purchasers and shall continue through the date or mileage determined in this Paragraph for the benefit of such subsequent purchasers.

The Extended Warranty also provides buyback protections to any Eligible Owner or Eligible Lessee of an Eligible Vehicle that receives the AEM in the event that, during the 18 months or 18,000 miles (whichever comes first) following the completion of the AEM (the "Remedy Period"), Fiat Chrysler fails to repair or remedy a confirmed failure or malfunction covered by the Extended Warranty and associated with the AEM (a "Warrantable Failure") after the Eligible Owner or Eligible Lessee physically presents the Eligible Vehicle to a Fiat Chrysler Authorized Dealer for repair of the Warrantable Failure; and (1) the Warrantable Failure is unable to be remedied after making four separate service visits to the same Fiat Chrysler Authorized Dealer for the same Warrantable Failure during the Remedy Period; or (2) the Eligible Vehicle with the Warrantable Failure is out-of-service due to the Warrantable Failure for a cumulative total of thirty (30) days during the Remedy Period, not including any days when the Dealer returns or otherwise tenders the Eligible Vehicle to the customer while the Fiat Chrysler Authorized Dealer awaits necessary parts and such vehicle remains Operable.

Additional detail regarding the Extended Warranty can be found in Paragraph 45 and Appendix E of the Consent Decree.

## HOW TO GET BENEFITS – FILING CLAIMS FOR ELIGIBLE VEHICLES

### 18. How do I claim Class Action Agreement benefits?

To claim Class Action Agreement benefits, you will need to make a claim online or by mail. You can obtain and print forms for mail submissions on the Settlement Website or request them by mail by calling 1-833-280-4748. Online claims submissions are likely to be processed most quickly.

**Online:** [www.EcoDieselSettlement.com](http://www.EcoDieselSettlement.com).

**Mail:** **[Address for claims – to be updated upon preliminary approval of settlement].**

### 19. When can I make a claim?

The Claims Period begins on the date the Court grants final approval of the Settlements. The earliest date this could occur is April 29, 2019. While FCA may not review Claims Forms and supporting documentation until the Claims Period begins, you will be able to start your claim before the Claims Period begins and you can take steps now to stay updated on the claims process. Please check the Settlement Website ([www.EcoDieselSettlement.com](http://www.EcoDieselSettlement.com)) for updates.

You should visit the Settlement Website ([www.EcoDieselSettlement.com](http://www.EcoDieselSettlement.com)) or call 1-833-280-4748 as soon as possible to sign up for e-mail updates about the Class Action Agreement, including notification of when Claims Forms will be available. **Signing up for e-mail updates on the Settlement Website is not submission of a claim, and you should check the Settlement Website ([www.EcoDieselSettlement.com](http://www.EcoDieselSettlement.com)) regularly, even after you sign up for e-mail updates.**

### 20. What is the deadline to make a claim?

The deadline for you to submit a claim depends on whether you are (i) an Eligible Owner or Eligible Lessee or (ii) an Eligible Former Owner or Eligible Former Lessee.

**If you are an Eligible Former Owner or Eligible Former Lessee, to receive benefits under the Class Action Agreement, you must submit a complete and valid claim within 90 days of the Court's final orders approving the Settlements. Under the proposed schedule, this would mean a deadline of July 29, 2019, although the deadline may change depending on when the Court finally approves the Settlements.**

However, if you become an Eligible Former Owner or Eligible Former Lessee because your Eligible Vehicle is transferred to a third party after January 10, 2019, as a result of a total loss, but before the AEM is performed, your deadline to submit a complete and valid claim is the later of (1) 90 days from the Court's orders granting final approval of the Settlements, or (2) 60 days after title is transferred (for Eligible Former Owners), or 60 days after surrendering the leased vehicle under the terms of the lease (for Eligible Former Lessees). In no event, however, will the deadline extend beyond 21 months from the Court's orders granting final approval of the Settlements.

The deadline for Eligible Former Lessees whose leases began on or before January 12, 2017, and who terminate their leases before receiving the AEM is also the later of (1) 90 days from the Court's orders granting final approval of the Settlements, or (2) 60 days after surrendering the leased vehicle under the terms of the lease, but in no event later than 21 months from the Court's orders granting final approval of the Settlements.

All other Class Members must submit a complete and valid claim by 21 months from the Court's orders granting final approval of the Settlements. You will need to complete any Approved Emissions Modification by the time the Class Action Agreement claim program ends, which is 24 months from the Court's orders granting final approval of the Settlements. To ensure that you have adequate time to

schedule and complete your Approved Emissions Modification, you should not wait until the 21-month deadline approaches to submit your claim or schedule your Approved Emissions Modification.

**Class Members who do not submit a claim by the applicable deadline will not receive settlement compensation.**

**21. What supporting documents do I need to make a claim?**

To start your claim, go to the Settlement Website ([www.EcoDieselSettlement.com](http://www.EcoDieselSettlement.com)) and navigate to the Online Claims Portal, where you will be asked for your VIN (Vehicle Identification Number) and other information required to create a claim. You will be required to submit supporting documentation to complete your claim, which may include:

- Current vehicle registration (if applicable);
- Your driver's license or other government-issued identification;
- Dates you owned or leased the Eligible Vehicle; and
- Sufficient proof of current or former ownership or lease (as applicable).

**22. When and how will I receive my payment?**

The earliest possible time for payments to begin is approximately six weeks from the Court's orders granting final approval of the Class Action Agreement. If the Court grants final approval of the Class Action Agreement, Defendants will pay eligible claims on a rolling basis as they are received and approved and offers are accepted by Class Members. The claims program will begin when the Court grants final approval of the Class Action Agreement and will not wait until appeals, if any, are resolved before providing benefits.

Once your claim is submitted, including all required documentation, you will be notified whether your claim is complete. If your claim is deficient, you will receive instructions concerning how to resolve any deficiency. Once your claim and documents are complete and you are determined to be eligible, you will receive an offer letter and release form that you must sign, notarize, and return. Once the offer package is accepted, you will be able to schedule your Approved Emissions Modification at a Fiat Chrysler Authorized Dealer (for Eligible Owners and Eligible Lessees), or your payment will be processed (for Eligible Former Owners and Eligible Former Lessees). For Eligible Owners and Eligible Lessees, payments will be processed only after the Approved Emissions Modification is performed.

In general, Eligible Owners and Eligible Lessees should complete a claim before scheduling an appointment for an Approved Emissions Modification. However, an Eligible Owner or Eligible Lessee who receives an Approved Emissions Modification prior to submitting a claim will still be eligible for compensation if the Eligible Owner or Eligible Lessee later submits a valid claim within 21 months of the Court's orders granting final approval of the Settlements.

If you are an Eligible Owner, and you complete a valid claim within the first 90 days after the Court grants final approval of the Settlements, you may choose to either (i) receive an initial payment of \$2,460, and an additional, later payment of \$615 if no Eligible Former Owner or Eligible Former Lessee makes a valid claim for compensation related to your vehicle within 90 days after the Court grants final approval of the Settlements, or (ii) choose to receive a single lump-sum payment after 90 days from the date the Court grants final approval of the Settlements, which will be \$2,460 if an Eligible Former Owner or Eligible Former Lessee has made a valid claim for your vehicle, or \$3,075 if no Eligible Former Owner or Eligible Former Lessee has made a valid claim for your vehicle. If you have any questions about your payment options, please call 1-833-280-4748.

## UNDERSTANDING THE CLASS ACTION PROCESS

### 23. Why did I receive Notice of the Settlements?

You received a Notice because you may be a member of the Class. The Court authorized this Notice because Class Members have a right to know about the proposed Class Action Agreement and to understand all of their options before the Court decides whether or not to approve the Class Action Agreement. This Notice summarizes the Class Action Agreement and explains Class Members' legal rights and options, as well as the relief provided by the Consent Decree.

### 24. What is a class action?

A class action is a representative lawsuit. One or more plaintiffs (who are also called "class representatives") sue on behalf of themselves and all other people with similar claims who are not named in the lawsuit but are described in the class definition and are called "Class Members." When a class action is settled, the Court resolves the issues in the lawsuit for all Class Members, except for those who leave (opt out of) the class. Opting out means that you will not receive benefits under the Class Action Agreement. The opt-out process is described in Question 27 of this Notice.

### 25. What am I giving up in exchange for receiving the Class Action Agreement benefits?

If the Court approves the Class Action Agreement and you do not opt out, you will be eligible for the monetary benefits described above. In exchange, you will waive your right to sue the Defendants and related parties for the claims being resolved by this Class Action Agreement.

This Class Action Agreement **does not** provide compensation for any individuals or entities who are not members of the Class (see Question 2) and does not affect any legal claims related to claims for personal injury or wrongful death.

The Class Action Agreement contains the complete text and details of what rights Class Members waive unless they exclude themselves from the Class Action Agreement, so please read it carefully. In particular, please refer to Section 9 of that document. The Class Action Agreement is available on the Settlement Website ([www.EcoDieselSettlement.com](http://www.EcoDieselSettlement.com)). If you have any questions, you may talk to the class counsel listed in Question 30 for free, or you may talk to your own lawyer.

### 26. Am I releasing any personal injury or wrongful death claims if I participate in the Class Action Agreement?

No. The Class Action Agreement does not affect or release any personal injury or wrongful death claims you may have, now or in the future.

### 27. How do I get out of the Class Action Agreement?

If you do not want to receive the benefits provided by the Class Action Agreement, and you want to retain the right to sue the Defendants separately about the legal issues in this case, then you must take steps to remove yourself from the Class. You may do this by asking to be excluded from—sometimes referred to as "opting out of"—the Class. To do so, you must send a letter or other written document to the Claims Administrator. Your request must include:

- Your name, address, and telephone number;
- The VIN of your vehicle;

- A statement that “I wish to exclude myself from the Class in *In Re Chrysler-Dodge-Jeep EcoDiesel Marketing, Sales Practices, and Products Liability Litigation*, No. 3:17-md-2777,” or substantially similar clear and unambiguous language;
- A statement as to whether you own, lease, owned, or leased an Eligible Vehicle and the dates of ownership or lease;
- If you no longer own or lease your vehicle, evidence that the vehicle was sold or that the lease expired or was terminated; and
- Your personal signature (electronic signatures, including Docusign, are invalid and will not be considered personal signatures).

You must either (i) mail your signed written request to **[mailing address for opt-outs – to be updated upon preliminary approval of settlement]**; or (ii) e-mail a complete and legible scanned copy or photograph of your signed written request to OptOut@EcoDieselSettlementAdmin.com. Your signed written request must be sent (postmarked or e-mailed) by **[the Opt-Out Deadline]**, except that if you purchased or leased your Eligible Vehicle on or after **[the Opt-Out Deadline]** but before the last day to submit a claim, your signed written request must be sent (postmarked or e-mailed) by the last day to submit a claim or 60 days from the date of purchase or lease, whichever is later. (See Question 20 and Paragraph 6.1 of the Class Action Agreement for additional details.)

The Parties will make reasonable efforts to promptly identify opt-out requests that are unreadable and to notify those individuals before the Opt-Out Deadline, if possible.

**28. If I stay in this Class Action Agreement, can I sue these Defendants for the same thing later?**

No. Unless you exclude yourself, you give up the right to sue the Defendants for all of the claims that this Class Action Agreement resolves.

**29. If I exclude myself, can I still get full benefits from the Class Action Agreement?**

No. If you exclude yourself, you will not get any of the monetary benefits provided by the Class Action Agreement. Under the Consent Decree, you would still be able to obtain an Approved Emissions Modification and Extended Warranty free of charge.

**30. Do I have a lawyer in the case?**

Yes. The Court has appointed lawyers to represent all Class Members as “Class Counsel.” You will not be charged for contacting these lawyers. **Please contact them at EcoDieselClassCounsel@lchb.com or 1-888-315-6096.** They are:

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|---|---|
| <p>Elizabeth J. Cabraser<br/>LIEFF CABRASER HEIMANN &amp; BERNSTEIN, LLP<br/>275 Battery Street, 29th Floor<br/>San Francisco, CA 94111</p> <p>Roland K. Tellis<br/>BARON &amp; BUDD, P.C.<br/>15910 Ventura Boulevard, Suite 1600<br/>Encino, CA 91436</p> <p>Lesley E. Weaver</p> | <p>W. Daniel Miles, III<br/>BEASLEY, ALLEN, CROW, METHVIN, PORTIS &amp; MILES P.C.<br/>218 Commerce Street<br/>Montgomery, AL 36104</p> <p>David S. Casey, Jr.<br/>CASEY GERRY SCHENK FRANCAVILLA BLATT &amp; PENFIELD LLP<br/>110 Laurel Street<br/>San Diego, CA 92101-1486</p> |
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| BLEICHMAR FONTI & AULD, LLP<br>555 12th Street, Suite 1600<br>Oakland, CA 94607<br><br>Steve W. Berman<br>HAGENS BERMAN SOBOL SHAPIRO LLP<br>1301 2nd Avenue, Suite 2000<br>Seattle, WA 98101<br><br>Joseph F. Rice<br>MOTLEY RICE, LLC<br>28 Bridgeside Boulevard<br>Mount Pleasant, SC 29464 | Lynn Lincoln Sarko<br>KELLER ROHRBACK L.L.P.<br>1201 Third Avenue, Suite 3200<br>Seattle, WA 98101<br><br>Rachel L. Jensen<br>ROBBINS GELLER RUDMAN & DOWD<br>LLP<br>655 West Broadway, Suite 1900<br>San Diego, CA 98101<br><br>Stacey P. Slaughter<br>ROBINS KAPLAN LLP<br>800 LaSalle Avenue, Suite 2800<br>Minneapolis, MN 55402 |
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### 31. How will the lawyers be paid? And how much?

**Any attorneys' fees and costs awarded to Class Counsel by the Court will be paid separately by Defendants in addition to the Class benefits, and will not reduce benefits to Class Members.**

Defendants will pay reasonable attorneys' fees and costs to Class Counsel approved by the Court in addition to the benefits provided to the Class Members in the Class Action Agreement. Class Counsel will ask the Court to award [\$] in attorneys' fees and [\$] in costs in a document to be filed on February 25, 2019. That document will be available on the Settlement Website shortly after it is filed with the Court, and will describe the methodology and rationale behind Class Counsel's request. Class Members will have an opportunity to comment on and/or object to this request, as explained further in Question 32. The Court must approve these attorneys' fees and costs before they are paid by Defendants.

**Defendants will not pay attorneys' fees and costs to any attorneys other than Class Counsel and attorneys working under Class Counsel's direction.** If you choose to hire attorneys that have not been appointed as Class Counsel, you may incur additional charges, subject to your agreement with your personally-retained attorneys. No attorneys other than Class Counsel or other attorneys authorized by Class Counsel to perform work in connection with this Action will receive fees or expenses from Defendants under this Class Action Agreement or any fee-shifting statute.

### 32. How do I tell the Court if I do not like the Class Action Agreement?

If you do not exclude yourself from the Class Action Agreement, you may object to it. The Court will consider your views. **Even if you make an objection, you must also submit a claim in order to get cash compensation under the Class Action Settlement.**

To comment on or to object to the Class Action Agreement or Class Counsel's request for attorneys' fees and costs, you or your attorney must submit your written objection to the Court, including the following:

- Your name, address, and telephone number;
- A statement saying that you object to the Class Action Agreement in *In Re Chrysler-Dodge-Jeep EcoDiesel Marketing, Sales Practices, and Products Liability Litigation*, No. 3:17-md-2777 (N.D. Cal.);
- A statement that you have reviewed the Class definition and have not opted out of the Class;

- The reasons you object to the Class Action Agreement or Class Counsel’s request for attorneys’ fees and costs, along with any supporting materials;
- The VIN of your Eligible Vehicle and the dates you owned or leased the Eligible Vehicle; and
- Your signature and date.

In addition, if you wish to speak at the final approval hearing (the “Fairness Hearing”), you must submit a written notice of your intent (see Question 36 below).

You must mail your objection to the addresses below, postmarked by **[the Opt-Out Deadline]**:

| COURT   | CLASS COUNSEL  | FIAT CHRYSLER DEFENDANTS  | BOSCH DEFENDANTS   |
|---|--|---|--|
| <b>Clerk of the Court/Judge Edward Chen<br/>Phillip Burton Federal Building &amp; United States Courthouse<br/>450 Golden Gate Avenue<br/>San Francisco, CA 94102</b> | Elizabeth Cabraser<br>Lieff Cabraser Heimann & Bernstein, LLP<br>275 Battery Street, 29th Floor<br>San Francisco, CA 94111 | Robert J. Giuffra, Jr.<br>Sullivan & Cromwell LLP<br>125 Broad Street<br>New York, NY 10004 | Matthew D. Slater<br>Cleary Gottlieb Steen & Hamilton LLP<br>2112 Pennsylvania Avenue NW<br>Washington, DC 20037 |

**33. What is the difference between objecting to the Class Action Agreement and opting out?**

If you opt out of the Class, you cannot object to the Class Action Agreement. Opting out is telling the Court that you do not want to be part of the Class Action Agreement, and you do not want to receive any Class Action Agreement benefits. If you opt out, you have no basis to object to the Class Action Agreement by telling the Court you do not like something about it, because the Class Action Agreement no longer affects you. If you opt out, you retain your right to sue Defendants, but you give up your right to obtain monetary compensation under the Class Action Agreement. If you opt out, you may still receive the Approved Emissions Modification and Extended Warranty.

If you object to the Class Action Agreement, you are expressing your views about the Class Action Agreement but remain a member of the Class (if you are otherwise eligible). **If you make an objection, you must still submit a claim in order to get cash compensation under the Class Action Settlement.**

**34. When and where will the Court decide whether to approve the Class Action Agreement?**

The Court will hold the Fairness Hearing on **[Date to be set by Court]**, at **[time to be set by court]**, at the United States District Court for the Northern District of California, located at the United States Courthouse, 450 Golden Gate Avenue, San Francisco, CA 94102. The hearing may be moved to a different date or time without additional notice, so it is a good idea to check the Settlement Website ([www.EcoDieselSettlement.com](http://www.EcoDieselSettlement.com)) or call 1-833-280-4748.

At this hearing, the Court will hear evidence about whether the Class Action Agreement and Class Counsel’s request for attorneys’ fees and costs is fair, reasonable, and adequate. If there are objections, the Court will consider them and may listen to people who have asked to speak at the hearing. After the hearing, the Court will decide whether to approve the Class Action Agreement and Class Counsel’s

request for attorneys' fees and costs. We do not know how long it will take for the Court to reach its decisions.

**35. Do I have to attend the hearing?**

No. Class Counsel and lawyers representing the Defendants will answer questions the Court may have. You are welcome to attend at your own expense. If you timely file an objection, you do not have to come to Court to talk about it. As long as you mail your written objection on time, the Court will consider it. You also may have your own lawyer attend the hearing at your expense, but it is not necessary.

**36. May I speak at the hearing?**

If you do attend the Fairness Hearing, you may ask the Court for permission to speak. To do so, you must first send a letter stating that it is your "Notice of Intention to Appear in *In Re Chrysler-Dodge-Jeep EcoDiesel Marketing, Sales Practices, and Products Liability Litigation*, No. 3:17-md-2777 (N.D. Cal.)." Be sure to include your name, address, telephone number, and signature. Your notice of intention to appear must be postmarked by **[Date to be set by the Court]** and sent to the addresses listed in Question 32. The Court will determine whether to grant you permission to speak.

**37. How do I get more information?**

This Notice summarizes the proposed Class Action Agreement. More details are in the Class Action Agreement and the proposed Consent Decree. You can get copies of all of these documents on the Settlement Website ([www.EcoDieselSettlement.com](http://www.EcoDieselSettlement.com)). You also may write with questions to **[e-mail – to be updated upon preliminary approval]** or **[Address for questions – to be updated upon preliminary approval of settlement]** or call 1-833-280-4748.

You can access the Court docket in this case, for a fee, through the Court's Public Access to Court Electronic Records (PACER) system at <https://ecf.cand.uscourts.gov>, or by visiting the office of the Clerk of the Court for the United States District Court for the Northern District of California, between 9:00 am and 4:00 pm, Monday through Friday, excluding Court holidays.

# Exhibit 4

## Class Claims Program and Administration for Class Members

## **Class Claims Program and Administration for Class Members**

The Claims Program is designed to provide Class Members with the information they need to understand their options and submit a claim in the Class Action Settlement. All capitalized terms in this document have the meanings ascribed to them in the Class Action Settlement.

The Claims Program will take place in a number of steps, summarized here. Not all steps are relevant to all Class Members. Regardless of participation in this Class Claims Program, all Eligible Owners and Eligible Lessees are entitled to receive the Approved Emissions Modification described in Section 2.3 of the Class Action Settlement and associated warranty benefits; however, the Claims Program is the exclusive process for obtaining any payment under the terms of the Class Action Settlement.

### **CLAIMS DEADLINES**

To claim compensation in the Class Action Settlement, Class Members must submit a complete and valid claim by the applicable claims deadline.

**Eligible Former Owners and Eligible Former Lessees must file a complete and valid Claim within 90 days of the day that the Court enters the Final Approval Order (the “Effective Date”).**<sup>1</sup>

**All other Class Members must submit a complete and valid Claim within 21 months of the Effective Date.**

### **CLAIMS SUBMISSION PROCESS**

- 1. The Class Member Learns About the Class Action Settlement.** The Court-approved Class Notice Program informs Class Members about the Class Action Settlement, including the availability of the Approved Emissions Modification, the estimated amount of compensation that they can expect to receive under the Class Action Settlement, and other benefits available under the Class Action Settlement and FCA’s settlement with the United States.

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<sup>1</sup> If a Class Member becomes an Eligible Former Owner or Eligible Former Lessee because their Eligible Vehicle is transferred to a third party after January 10, 2019 *as a result of a total loss*, but before the AEM is performed, the deadline to submit a complete and valid claim is the later of (1) 90 days from the Effective Date, or (2) 60 days after title is transferred (for Eligible Former Owners) or 60 days after surrendering the leased vehicle under the terms of the lease (for Eligible Former Lessees). In no event, however, will the deadline extend beyond 21 months from the Effective Date.

Information about the Class Action Settlement and Claims Program will be available through the Settlement Website and Claims Portal ([www.EcoDieselSettlement.com](http://www.EcoDieselSettlement.com)) and by phone (1-833-280-4748). Starting on January 24, 2019, Class Members who wish to receive general email updates about the Class Action Settlement may sign up through the Settlement Website by providing the following information: (i) the Class Member's name; (ii) contact information, including email, mailing address, and phone number; and (iii) Vehicle Identification Number ("VIN").

- 2. The Class Member Files an Initial Claim.** The Class Member will submit a Claim Form and required documentation. Class Members will be notified when Claim Forms may be submitted, but FCA will not be required to review any Claim Forms until the Claims Period begins on the Effective Date.

As part of submitting a Claim, Class Members will provide required information and documentation, including, but not limited to, a driver's license or other government-issued identification, the dates the Class Member owned or leased the Eligible Vehicle, sufficient proof of current or former ownership or lease (as applicable), and the current vehicle registration (if applicable). Additional information may be required to verify eligibility. Class Members can submit an electronic Claim Form online through the Claims Portal, or a paper Claim Form by mail to an address to be supplied by FCA. Class Members will receive a Claim number after their online Claim has been submitted or their paper Claim has been received. A copy of the paper Claim Form is attached in Appendix A.

- 3. FCA Reviews the Claim.** Once the Claim has been submitted and the Claims Program has begun, FCA will review and verify the Class Member's Claim Form and document package for completeness and eligibility. FCA will confirm that the document package is complete, or notify the Class Member that there is a deficiency with the document package and/or that more information is needed. FCA will make Class Member Claim Forms and document packages available to Class Counsel and the Claims Administrator upon request.
- 4. FCA Notifies Class Members of Eligibility.** FCA will notify Class Members of their eligibility or ineligibility within 10 business days after confirmation that the document package is complete and extend offers to eligible Class Members. Class Members who submit Claims through the Claims Portal will receive their offers through the Claims Portal. Class Members who submit paper Claims will receive their offers by mail or, if they choose, through the Claims Portal.

Eligible Owners who submit valid Claims during the initial 90 days after the Effective Date will receive offers explaining that they are eligible for an initial payment of \$2,460, and an additional, later payment of \$615 if no Eligible Former Owner or Eligible Former Lessee makes a valid claim for compensation related to the same vehicle within 90 days of the Effective Date. Eligible Owners receiving such offers may instead choose to receive a single lump-sum payment after 90 days from the Effective Date, which will be \$2,460 if an Eligible Former Owner or Eligible Former Lessee has made a valid claim for the vehicle, or \$3,075 if no other Eligible Former Owner or Eligible Former Lessee has made a valid claim for the vehicle.

5. **The Class Member Accepts Offer & Schedules Appointment.** To accept an offer, the Class Member will be required to submit a completed offer package, including a signed and notarized Individual Release, as set forth in Section 9.7 of the Class Action Settlement. Class Members who have submitted their completed offer package may then schedule an appointment to receive an Approved Emissions Modification for their Eligible Vehicles at an Authorized Dealer.
6. **The Class Member Completes an Appointment & Receives Payment.** The Class Member's Eligible Vehicle must be brought to an Authorized Dealer to receive the Approved Emissions Modification, free of charge. In certain circumstances, if a Class Member lives in a location without an accessible Authorized Dealer, another FCA service provider will offer the Approved Emissions Modification. FCA will provide a loaner vehicle at no cost to the Class Member for any Approved Emissions Modification that is scheduled to take longer than three hours or that is not complete within three hours of the scheduled start of the appointment. The Authorized Dealer (or FCA service provider) will then perform the Approved Emissions Modification and will confirm to FCA that the Approved Emissions Modification has been completed, which will trigger the Class Member Payment process. The Class Member will then receive a payment or payments, as described in Exhibit 1 to the Class Action Settlement.

#### **ADDITIONAL PROVISIONS**

7. **Claims Review Committee.** If a Class Member or purported Class Member contests a decision about his or her eligibility or the eligibility of his or her vehicle, or whether his or her compensation amount is accurate, that Class Member or purported Class Member may appeal the contested decision to the Claims Review Committee. The appeal must contain the name, contact information, VIN, and claim number of the Class Member or purported Class Member, as well as a description of the issue being appealed and all supporting documentation. The appeal may be emailed to [ClaimsReview@EcoDieselSettlementAdmin.com](mailto:ClaimsReview@EcoDieselSettlementAdmin.com) or sent to a mailing address to be supplied by FCA and maintained by the Claims Administrator. The decisions of the Claims Review Committee are final and not subject to appeal.
8. **Payment Method and Timing.**
  - **Payment Method.** Class Member Payments will be made by check.
  - **Receipt at Appointment.** Class Members who receive an Approved Emissions Modification after submitting a Claim in the Claims Program will, upon completion of the AEM, receive a receipt that sets forth the Class Member's name, address, telephone number and email address, Vehicle Identification Number (VIN), the date the AEM was performed, and a statement that the EcoDiesel Settlement AEM was performed successfully.

- **Timing of Payments.** Beginning 30 days after the Effective Date, FCA will provide the Claims Administrator with a list of approved payments (“Payment List”). FCA will provide subsequent Payment Lists every 14 days thereafter. Within 14 days of receipt of a Payment List from FCA, the Claims Administrator will mail checks to the Class Members included on the Payment List.

**9. Claim Submission Deadline for Eligible Former Owners and Eligible Former Lessees.**

To obtain compensation under the Class Action Settlement, Eligible Former Owners and Eligible Former Lessees must submit a complete and valid Claim, including all supporting information and documentation necessary to establish eligibility, **no later than 90 days after the Effective Date**. If a Class Member becomes an Eligible Former Owner or Eligible Former Lessee because their Eligible Vehicle is transferred to a third party after January 10, 2019 *as a result of a total loss*, but before the AEM is performed, the deadline to submit a complete and valid claim is the later of (1) 90 days from the Effective Date, or (2) 60 days after title is transferred (for Eligible Former Owners) or 60 days after surrendering the leased vehicle under the terms of the lease (for Eligible Former Lessees). In no event, however, will the deadline extend beyond 21 months from the Effective Date. FCA will then review and determine the Class Member’s eligibility (or ineligibility) to participate.

**10. Claims Submission Deadline for Eligible Owners and Eligible Lessees.**

Eligible Owners and Eligible Lessees must submit a complete and valid Claim, including all information and documentation necessary to establish eligibility, by **no later than 21 months after the Effective Date**. The Settlement Benefit Period for Eligible Owners and Eligible Lessees, as described in Section 2.65 of the Class Action Settlement Agreement, will run for 24 months following the Effective Date (if a Final Approval Order is entered). Eligible Owners and Eligible Lessees must accept a formal offer and schedule and complete an appointment for an Approved Emissions Modification at a participating FCA Authorized Dealer (or, if a Class Member lives in a location without an accessible Authorized Dealer, another FCA service provider) within the 24-month Settlement Benefit Period to obtain their Class Member Payment. However, no Eligible Owners or Eligible Lessees will be denied an Approved Emissions Modification or associated warranty benefits on account of being outside the Settlement Benefit Period.

**11. Approved Emissions Modification Available without Participation in Claims Program.**

No provision of this Claims Program or the Class Action Agreement shall be construed to prevent any Class Member from requesting and receiving the Approved Emissions Modification at an Authorized Dealer without participation in the Class Program, provided that no Class Member shall be entitled to a Class Member *Payment* without participating in the Claims Program and completing all required steps.

**12. Claim Form Submissions after Completion of Approved Emissions Modifications.**

No Class Member shall be denied a Class Member Payment on the basis of having received an Approved Emissions Modification from an Authorized Dealer prior to completing any of the other Claims Program steps listed above. Any such Class Member shall be entitled to receive his or her Class Member Payment upon submission of a timely Claims Form prior to the

Claims Submission Deadline and the completion of all remaining steps in the Claims Program.

# **APPENDIX A**

FCA

***In re Chrysler-Dodge-Jeep EcoDiesel Marketing,  
Sales Practices and Products Liability Litigation***  
**Class Action Settlement Claim Form**  
**Claim Form Instructions**

Instructions

### Instructions for Completing the Enclosed Claim Form

You must complete and return the enclosed Claim Form to claim compensation under the Settlement.

Please read the full Notice available at [www.EcoDieselSettlement.com](http://www.EcoDieselSettlement.com) before completing your Claim Form. If you have questions about this Claim Form, please visit the website at [www.EcoDieselSettlement.com](http://www.EcoDieselSettlement.com), call 1-833-280-4748 or email [email address to be updated upon preliminary approval of settlement].

You may submit your completed Claim Form online at [www.EcoDieselSettlement.com](http://www.EcoDieselSettlement.com). You may also mail your completed Claim Form and documentation to:

[Mailing address to be updated  
upon preliminary approval of  
Settlement]

To receive settlement compensation, you must complete the following steps:

1. **Claim Submission:**  
You must submit a complete and valid Claim Form, including all supporting documentation, by the applicable claims deadline. **Please visit [www.EcoDieselSettlement.com](http://www.EcoDieselSettlement.com) for additional, time-sensitive information about the deadline to submit your claim.**
2. **Review of Claim and Supporting Documentation:**  
After the Claims Program has begun, Fiat Chrysler will review your Claim Form and supporting documents for completeness and eligibility. Fiat Chrysler will confirm with you that your claim is complete or notify you if there is a deficiency with your claim or supporting documentation.
3. **Offer Letter:**  
Once your claim is deemed complete and you are determined to be eligible for the Settlement, you will receive an offer letter and release form that you must sign, notarize, and return.
4. **AEM Appointment and Payment:**  
If you are an **Eligible Owner** or **Eligible Lessee**, you will be eligible for compensation once your signed offer package is accepted and the Approved Emissions Modification has been installed at a Fiat Chrysler Authorized Dealer. You may have the Approved Emissions Modification installed (and may also schedule an appointment to have the Approved Emissions Modification installed) either prior to or after submitting your signed offer package, but you will not receive compensation until your signed offer package is accepted. Your payment will be processed and mailed to you within six weeks after your signed offer package is accepted and the Approved Emissions Modification has been installed.

If you are an **Eligible Former Owner** or **Eligible Former Lessee**, your payment will be processed after your signed offer package is accepted.

**ALL CLAIMS ARE SUBJECT TO VERIFICATION.**

**PLEASE KEEP A COPY OF YOUR COMPLETED CLAIM FORM FOR YOUR RECORDS.**

**INCOMPLETE CLAIMS WILL NOT BE PAID. YOU WILL BE NOTIFIED IF YOUR CLAIM IS INCOMPLETE SO THAT YOU CAN COMPLETE IT.**

**IMPORTANT: BEFORE FILLING OUT THIS FORM, PLEASE READ THIS ENTIRE CLAIM FORM AND THE CLASS LONG FORM NOTICE CAREFULLY. THE CLASS LONG FORM NOTICE CONTAINS ADDITIONAL INFORMATION REGARDING YOUR ELIGIBILITY FOR SETTLEMENT BENEFITS AND OTHER IMPORTANT INFORMATION.**

If you have questions about filling out this form,  
Please visit [www.EcoDieselSettlement.com](http://www.EcoDieselSettlement.com) or call 1-833-280-4748

**FCA**

**In re Chrysler-Dodge-Jeep EcoDiesel Marketing,  
Sales Practices and Products Liability Litigation  
Class Action Settlement Claim Form**

**Claim Form  
Page 1**

**SECTION A: NAME AND CONTACT INFORMATION (MAILING ADDRESS)**

Please provide your name and contact information below. The Claims Administrator will send your Settlement check to your Mailing Address. You must notify the Claims Administrator if your contact information changes after you submit your Claim Form.

**First Name**

**Last Name**

**Street Address (Mailing Address)**

**City**

**State**

**Zip Code**

**Email Address**

**Telephone Number**

**SECTION B: VEHICLE INFORMATION**

Please identify your Eligible Vehicle by checking the box next to your vehicle model and model year. Please check only one box in each column. If you have a claim for more than one Eligible Vehicle, you must submit a separate claim form for each vehicle.

| Eligible Vehicle List                                  |   |
|--|---|
| Model  | Model Year  |
| <input type="checkbox"/> Ram 1500 EcoDiesel            | <input type="checkbox"/> 2014 <input type="checkbox"/> 2015 <input type="checkbox"/> 2016 |
| <input type="checkbox"/> Jeep Grand Cherokee EcoDiesel | <input type="checkbox"/> 2014 <input type="checkbox"/> 2015 <input type="checkbox"/> 2016 |

Please enter the Vehicle Identification Number ("VIN") of the Eligible Vehicle you selected above. *The VIN can be found on the dashboard of the vehicle and is 17 characters long.*

Be sure to write clear and neatly. To avoid confusion between letters and numbers, please enter numbers in the same form as the chart below.

| Zero | One | Two | Three | Four | Five | Six | Seven | Eight | Nine |
|------|-----|-----|-------|------|------|-----|-------|-------|------|
| 0    | 1   | 2   | 3     | 4    | 5    | 6   | 7     | 8     | 9    |

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|

**Enter the 17-digit VIN Number in the boxes above**

**If you have questions about filling out this form,  
Please visit [www.EcoDieselSettlement.com](http://www.EcoDieselSettlement.com) or call 1-833-280-4748**

**FCA**

***In re Chrysler-Dodge-Jeep EcoDiesel Marketing,  
Sales Practices and Products Liability Litigation  
Class Action Settlement Claim Form***

**Claim Form  
Page 2**

**Status of Ownership or Lease**

Please select one of the following:

- I currently own the vehicle and did not purchase it from a lease.
- I currently own the vehicle and purchased it from a lease.
- I currently lease the vehicle.
- I previously owned the vehicle and no longer possess it.
- I previously leased the vehicle and no longer possess it.

**Dates of Ownership or Lease Period**

Please enter the date you purchased the vehicle or began leasing the vehicle (**MM/DD/YYYY**). If you purchased the vehicle from a lease, please enter the date of purchase.

/ /

If you still own or lease the vehicle, check here:

If you no longer own or lease the vehicle\*, please enter the date you sold the vehicle or the date you surrendered the vehicle due to your lease ending (**MM/DD/YYYY**):

/ /

*\*If your vehicle was totaled, enter the date the vehicle was transferred to an insurance company (or otherwise sold to a junkyard, salvage dealer, or the equivalent).*

**Additional Current Registered Owner or Lessee (if applicable)**

**First Name**

**Last Name**

**Contact Phone**

**FCA**

***In re Chrysler-Dodge-Jeep EcoDiesel Marketing,  
Sales Practices and Products Liability Litigation***  
**Class Action Settlement Claim Form**

**Claim Form  
Page 3**

**SECTION C: SUPPORTING DOCUMENTATION**

You must include a copy of the following supporting documentation with your Claim Form to complete your claim:

| IF YOU:                              | DOCUMENTS REQUIRED TO COMPLETE YOUR CLAIM*:   |
|--------------------------------------|---|
| <b>Currently own the vehicle</b>     | <ul style="list-style-type: none"> <li>• Your current vehicle registration</li> <li>• Your driver's license or other government-issued photo identification</li> <li>• Proof that you purchased the vehicle and when (which may include your bill of sale, title, registration from time of purchase, DMV registration history, financing agreement, or insurance documentation listing your date of vehicle purchase)</li> </ul>   |
| <b>Currently lease the vehicle</b>   | <ul style="list-style-type: none"> <li>• Your current vehicle registration</li> <li>• Your driver's license or other government-issued photo identification</li> <li>• Your lease agreement or proof of a monthly lease payment</li> </ul>  |
| <b>Previously owned the vehicle</b>  | <ul style="list-style-type: none"> <li>• Your driver's license or other government-issued photo identification</li> <li>• Proof that you purchased the vehicle and when (which may include your bill of sale, title, registration from time of purchase, DMV registration history, financing agreement, or insurance documentation listing your date of vehicle purchase)</li> <li>• Proof of when you sold or transferred title to the vehicle (which may include a bill of sale, copy of title transfer, trade-in receipt, or insurance transfer document)</li> </ul> |
| <b>Previously leased the vehicle</b> | <ul style="list-style-type: none"> <li>• Your driver's license or other government-issued photo identification</li> <li>• Proof of when your lease began (such as proof of first lease payment or lease agreement)</li> <li>• Proof of when your lease ended (such as a proof of final lease payment, lease termination agreement, or lease completion letter)</li> </ul>   |

\*You may need to provide additional documentation in certain circumstances. You will be notified if additional information is needed to complete your claim.

**SECTION D: CERTIFICATION STATEMENT FOR ENTIRE CLAIM FORM**

I affirm under penalty of perjury that all information in this Claim Form is true and accurate to the best of my knowledge.

**Signature**

**Date**

**Print Name**


**If you have questions about filling out this form,  
Please visit [www.EcoDieselSettlement.com](http://www.EcoDieselSettlement.com) or call 1-833-280-4748**

# Exhibit 5

# Individual Release of Claims

## INDIVIDUAL RELEASE OF CLAIMS

*In re Chrysler-Dodge-Jeep EcoDiesel Marketing,  
Sales Practices, and Products Liability Litigation*  
Case No. 3:17-md-02777 (N.D. Cal.)

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### **MUST BE COMPLETED BY CLAIMANT PRIOR TO RECEIVING ANY CLASS MEMBER PAYMENT**

1. In exchange for the benefits that (i) the Claims Administrator has determined I am eligible to receive under the class action settlement agreement in this case (the “Class Action Agreement”),<sup>1</sup> and (ii) one or more of the Defendants,<sup>2</sup> as applicable, has agreed to provide to me, the sufficiency of which I hereby acknowledge, I, on behalf of myself and my agents, heirs, executors, administrators, successors, assigns, insurers, attorneys, representatives, shareholders, owners associations, and any other legal or natural persons who may claim by, through or under me, hereby fully, finally, irrevocably, and forever release, waive, discharge, relinquish, settle, and acquit any and all claims, demands, actions, or causes of action, whether known or unknown, that I may have, purport to have, or may hereafter have against any Released Party arising out of or in any way related to the 3.0-liter Diesel Matter, except for claims of personal injury or wrongful death. This Individual Release is effective and binding when I receive a Class Member Payment under the Class Action Agreement.
2. This Individual Release incorporates by reference the release and associated provisions set forth in Section 9 of the Class Action Agreement as if set forth fully herein, and, as to those provisions, shall have the same scope and effect as the Class Action Agreement.<sup>3</sup> This Individual Release supplements the release and associated provisions set forth in Section 9 of the Class Action Agreement. It does not supersede them.
3. I expressly understand and acknowledge that this Individual Release applies to claims of which I am not presently aware. I expressly understand and acknowledge Section 1542 of the California Civil Code, which provides: **“A general release does not extend to claims which the creditor does not know or suspect to exist in his or her favor at the time of executing the release, which if known by him or her must have materially**

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<sup>1</sup> The terms “3.0-liter Diesel Matter,” “Action,” “Approved Emissions Modification,” “Claims Administrator,” “Extended Warranty,” “Fiat Chrysler,” “Final Approval Order,” and “Released Party” have the meanings given to them in the Class Action Agreement.

<sup>2</sup> Defendants, as that term is used herein, include: FCA US LLC, Fiat Chrysler Automobiles N.V., Sergio Marchionne and his estate, VW Motori S.p.A., VM North America, Inc., Robert Bosch GmbH, and Robert Bosch LLC.

<sup>3</sup> A copy of the Class Action Agreement is available at [www.EcoDieselSettlement.com](http://www.EcoDieselSettlement.com).

**affected his or her settlement with the debtor.”** I acknowledge that I am aware of the contents and effect of Section 1542 and have considered the possibility that the number, nature, or magnitude of all claims may not currently be known. To ensure that this Individual Release is interpreted fully in accordance with its terms, I expressly waive and relinquish any and all rights and benefits that I may have under Section 1542 to the extent that such section may be applicable to the Individual Release and likewise expressly waive and relinquish any rights or benefits of any law of any state or territory of the United States, federal law or principle of common law, or of international or foreign law, which is similar, comparable, analogous, or equivalent to Section 1542 of the California Code to the extent that such laws or principles may be applicable to the Individual Release.

4. **For the avoidance of doubt, I expressly understand and acknowledge that I may hereafter discover claims presently unknown or unsuspected, or facts in addition to or different from those that I now know or believe to be true, related to the 3.0-liter Diesel Matter, the Action and/or the Individual Release herein. Nevertheless, it is my intention in executing this Individual Release to fully, finally, irrevocably, and forever release, waive, discharge, relinquish, settle, and acquit all such matters, and all claims relating thereto which exist, hereafter may exist, or might have existed (whether or not previously or currently asserted in any action or proceeding) with respect to the 3.0-liter Diesel Matter or the Action in accordance with the terms of the Class Action Agreement.** This includes, without limitation, any claims I have or may have with respect to the 3.0-liter Diesel Matter under the Trade Regulation Rule Concerning the Preservation of Consumers’ Claims and Defenses, 16 C.F.R. § 433.2 (the “Holder Rule”).
5. The Individual Release shall remain effective regardless of any judicial, quasi-judicial, arbitral, administrative, regulatory, or other decision relating to the liability of any Released Party in connection with the 3.0-liter Diesel Matter. For the avoidance of doubt, this Individual Release shall remain effective even if the Final Approval Order is reversed and/or vacated on appeal, or if the Class Action Agreement is abrogated or otherwise voided in whole or in part.
6. This Individual Release waives or releases any right to receive further monetary compensation or to pursue additional benefits under the Class Action Agreement, except that nothing in this Individual Release changes any existing rights I may have to challenge or otherwise assert a claim against Fiat Chrysler arising from (1) a violation by Fiat Chrysler of the Clean Air Act, the California Health and Safety Code, or applicable regulations thereunder in connection with the certification of the Approved Emissions Modification, or (2) under the Extended Warranty. This Individual Release is limited to my vehicle VIN \_\_\_\_\_ and does not affect my rights, claims, or benefits regarding any other vehicle.
7. This Individual Release, and any dispute arising out of or related to this Individual Release, shall be governed by and interpreted according to the Federal Rules of Civil Procedure and applicable jurisprudence relating thereto, and the laws of the State of

California notwithstanding its conflict of law provisions. This Individual Release will be binding upon my successors, transferees, and assigns.

8. Any disagreement concerning and/or action to enforce this Individual Release shall be commenced and maintained only in the United States District Court for the Northern District of California.
9. I represent and warrant that I have carefully read and understand this Individual Release and that I executed it freely, voluntarily, and without being pressured or influenced by, or relying on, any statement or representation made by any person or entity acting on behalf of any Released Party. I certify that I understand that I have the right to consult with an attorney of my choice before signing this Individual Release.
10. I represent and warrant that I have authority to execute this Individual Release and that I am the sole and exclusive owner of all claims that I am releasing pursuant to this Individual Release. I acknowledge that I have not assigned, pledged, or in any manner whatsoever, sold, transferred, assigned or encumbered any right, title, interest or claim arising out of or in any way whatsoever pertaining to the 3.0-liter Diesel Matter.

**\*\*\*SIGNATURE PAGE FOLLOWS\*\*\***



I acknowledge that I have read and understand this Release and that I have freely executed it by signing below.

Date: \_\_\_\_\_  
\_\_\_\_\_ *Co-Registrant Printed Name* \_\_\_\_\_ *Co-Registrant Signature*

WITNESS:

State of \_\_\_\_\_ )  
) SS  
County of \_\_\_\_\_ )

I, \_\_\_\_\_, a Notary Public in and for said County in the State aforesaid, do hereby certify that \_\_\_\_\_, who is

- personally known to me
- OR –
- proved to me on the basis of satisfactory evidence, which was \_\_\_\_\_, [Type of ID]

to be the same person whose name is subscribed to the foregoing instrument, which was an Individual Release of Claims in the Class Action Agreement dated on \_\_\_\_\_ and containing pages, appeared before me on \_\_\_\_\_ in person and acknowledged [Date]

that they signed, sealed, and delivered the same instrument as their free and voluntary act for the uses and purposes therein set forth.

My seal:

\_\_\_\_\_  
Signature of Notary Public

\_\_\_\_\_  
Printed Name of Notary Public

\_\_\_\_\_  
Notary Commission Expiration Date  
Printed Name of Notary Public